

# FINISHING CONSTRUCTION WORKS Level IV

Based on, October 2023Curriculum Version II,



**Module Title**: Manage Construction Risk

Module Code: EIS FCW4M091023

**Nominal duration**: 80 Hours

Prepared By: Ministry of Lobar and Skill

October, 2023

Addis Ababa, Ethiopia



# Acknowledgement

The Ministry of Labour and skills wishes to thank and appreciation to MoLS leaders and experts, Regional Labour and skill/training Bureaus leader, experts, TVET College Deans, Instructors and industry experts who contribute their time and professional experience to the development of this Curriculum for Packing and Labelling Service.

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#### Acronym

ASHRAE American Society of Heating, Refrigerating and Air-

**Conditioning Engineers** 

ASSP American Society of Safety Professionals

ASTM American Society for Testing and Materials

CCOHS Canadian Centre for Occupational Health and Safety

CDC Centers for Disease Control and Prevention

CEO Chief Executive Officer

CSB Chemical Safety Board

ECHA European Chemicals Agency

EEA European Environment Agency

EHS Environment, Health, and Safety

EPA Environmental Protection Agency

GFCIs Ground Fault Circuit Interrupters

GHS Globally Harmonized System of Classification and Labeling of

Chemicals

HR Human Resources

HSE Health, Safety, and Environment

IARC International Agency for Research on Cancer

ICC International Code Council

IFRC International Federation of Red Cross and Red Crescent

Societies

ILO International Labor Organization

IRIS Integrated Risk Information System

ISO International Organization for Standardization

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JSTOR Journal Storage

MSDS Material Safety Data Sheets

NFPA National Fire Protection Association

NIOSH National Institute for Occupational Safety and Health

NMAM NIOSH Manual of Analytical Methods

NOAA National Oceanic and Atmospheric Administration

OHS Occupational Health and Safety

OSHA Occupational Safety and Health Administration

Oxfam Oxford Committee for Famine Relief

PFAS Personal Fall Arrest Systems

PPE Personal Protective Equipment

PubMed Public Medline

QA/QC Quality Assurance/Quality Control

REACH Registration, Evaluation, Authorization, and Restriction of

Chemicals

SDS Safety Data Sheets

USGS United States Geological Survey

WHO World Health Organization

WWF World Wildlife Fund

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# **Introduction to Module**

This module guide's to risk management in construction is designed to plan, monitor and control those measures needed to prevent exposure to risk. To do this it is necessary to identify the hazard, assess the extent of the risk, provide measures to control the risk and manage any residual risks.

This unit of competence covers skill, knowledge and attitude required to use a generic approach to identify hazards, and to assess and control occupational health and safety (OHS) risks

#### This module covers the units:

- Information and data to identify hazards
- Work environment to identify hazards
- Asses risk associated with Control risk
- Control risk associated with hazards
- Review risk management processes
- Hazard identification and risk control processes



## **Learning Objective of the Module**

At the end of the module the trainees will be able to

- Access sources of information and data to identify hazards
- Analyze work environment to identify hazards
- Assess risk associated with hazards
- Control risk associated with hazards
- Maintain hazard identification and risk control processes
- Monitor and review risk management processes

#### **Module Instruction**

For effective use this modules trainees are expected to follow the following module instruction:

- 1. Read the specific objectives of this Learning Guide.
- 2. Follow the instructions described below.
- 3. Read the information written in the information Sheets
- 4. Accomplish the Self-checks
- 5. Perform Operation Sheets

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#### UNIT ONE: INFORMATION AND DATA TO IDENTIFY HAZARDS

This unit is developed to provide you the necessary information regarding the following content coverage and topics:

- Information data on external hazards
- Review work place Information data
- OHS specialists and stake holders
- Ethics related to professional practice
- Researches to ensure workplace issues

This unit will also assist you to attain the learning outcomes stated in the cover page. Specifically, upon completion of this learning guide, you will be able to:

- Access information data on external hazards
- Access and review work place Information data
- Seek inputs OHS specialists and stake holders
- Find Ethics related to professional practice

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#### 1.1 Information data on external hazards

Identifying hazards is a crucial step in ensuring the safety and well-being of individuals, communities, and organizations. Accessing external sources of information and data can greatly assist in this process by providing comprehensive and up-to-date knowledge about potential hazards.

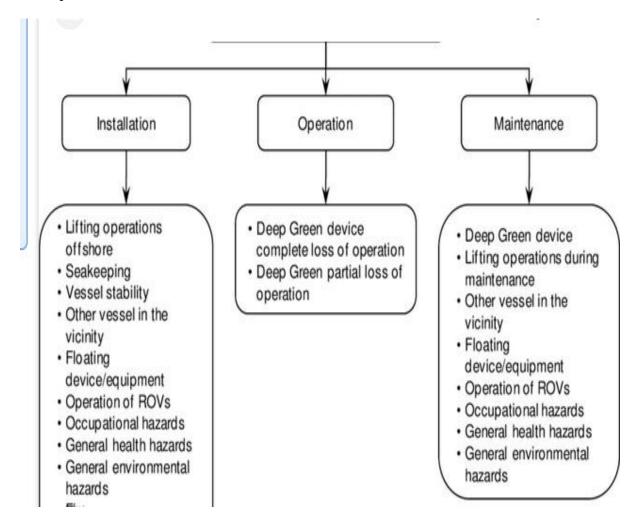


Figure 1.1 construction hazard identification

#### 1.1.1 Some ways to access such sources:

1. **Government Agencies**: Government agencies at the local, national, and international levels often provide valuable information on hazards. These agencies conduct research, collect data, and publish reports on various types of hazards, including natural disasters, environmental risks, and public health threats. Examples of authoritative government

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agencies include the United States Geological Survey (USGS), National Oceanic and Atmospheric Administration (NOAA), Centers for Disease Control and Prevention (CDC), World Health Organization (WHO), and European Environment Agency (EEA).

- 2. Academic Institutions: Universities and research institutions conduct extensive studies on various hazards to advance scientific knowledge and develop effective mitigation strategies. Their research papers, reports, and publications can provide valuable insights into hazards and their impacts. Accessing academic databases such as Google Scholar, JSTOR, or PubMed can help locate relevant studies and articles. Additionally, many universities have dedicated research centers or departments focused on specific hazards, such as earthquake engineering or climate change adaptation.
- 3.Non-Governmental Organizations (NGOs): NGOs often work on specific hazards or areas of concern and provide valuable information on related risks and vulnerabilities. They conduct research, publish reports, and offer guidance on hazard identification and mitigation strategies. Examples of authoritative NGOs include the International Federation of Red Cross and Red Crescent Societies (IFRC), World Wildlife Fund (WWF), Greenpeace, and Oxfam.

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## 1.2Review work place Information data

In order to identify hazards in the workplace, it is crucial to have access to accurate and reliable sources of information and data. These sources can provide valuable insights into potential hazards and assist in developing effective hazard identification strategies.

# 1.2.1 Workplace sources of information and data that can be utilized for this purpose:

- 1. Occupational Safety and Health Administration (OSHA): OSHA is a federal agency in the United States that sets and enforces workplace safety and health regulations. Their website provides a wealth of information on various hazards, safety standards, and guidelines for different industries. Employers can access OSHA's regulations, publications, and resources to identify hazards specific to their workplaces.
- 2. National Institute for Occupational Safety and Health (NIOSH): NIOSH is a research agency under the Centers for Disease Control and Prevention (CDC) in the United States. They conduct research and provide recommendations on occupational safety and health issues. NIOSH's website offers a wide range of resources, including publications, databases, and tools that can help identify workplace hazards.
- 3. **Industry-specific trade associations**: Many industries have trade associations or professional organizations that focus on promoting safety and providing resources for their members. These associations often publish industry-specific guidelines, best practices, and case studies related to hazard identification. Examples include the American Society of Safety Professionals (ASSP) for occupational safety professionals or the National Fire Protection Association (NFPA) for fire safety.
- 4. **Government agencies**: Apart from OSHA, other government agencies may also provide valuable information on workplace hazards depending on the country or region. For example, in Canada, the Canadian Centre for Occupational Health and Safety (CCOHS) offers comprehensive resources on workplace hazards, including databases on chemical substances, legislation summaries, and educational materials.
- 5. Scientific journals and research papers: Academic journals in fields such as occupational health and safety, industrial hygiene, ergonomics, and toxicology publish

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research studies that can provide in-depth insights into specific hazards. Access to these journals may require a subscription or membership to a professional organization.

- 6. **Safety data sheets (SDS):** SDS, formerly known as Material Safety Data Sheets (MSDS), are documents that provide information on the properties, hazards, and safe handling of chemicals used in the workplace. Employers should maintain an updated collection of SDS for all hazardous substances present in their workplace. These sheets can be obtained from chemical manufacturers or suppliers.
- 7. **Incident reports and near-miss reports**: Analyzing past incidents and near-miss events can help identify potential hazards in the workplace. Incident reports document the details of accidents or injuries that have occurred, while near-miss reports capture situations where an accident almost happened but was narrowly avoided. By reviewing these reports, employers can identify common trends or recurring hazards that need to be addressed.
- 8. **Internal data and records:** Employers should maintain records related to workplace safety, such as injury and illness logs, safety inspection reports, and maintenance records. Analyzing this internal data can reveal patterns or areas of concern that may indicate potential hazards.
- 9. Safety committees and employee feedback: Establishing safety committees or involving employees in hazard identification processes can be an effective way to gather firsthand information about potential hazards. Employees who work directly with equipment or processes are often best positioned to identify hazards that may not be apparent to others.
- **10. Industry-specific guidelines and standards:** Many industries have developed their own guidelines and standards for hazard identification based on best practices and industry-specific risks. These guidelines may be published by professional organizations or regulatory bodies specific to the industry.

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# 1.30HS specialists and stake holders

When seeking input from stakeholders, key personnel, and Occupational Health and Safety (OHS) specialists, it is essential to gather a wide range of perspectives and expertise to ensure comprehensive and effective decision-making. Engaging with these individuals can provide valuable insights, identify potential risks, and help develop strategies safe and healthy work environment. to promote Stakeholders are individuals or groups who have an interest or influence in the organization's OHS practices. They may include employees, managers, supervisors, unions, customers, suppliers, regulatory bodies, and community members. Engaging with stakeholders allows for a holistic approach to OHS management by considering various perspectives and ensuring that the organization's practices align with their expectations. Key personnel refers to individuals within the organization who hold critical roles or responsibilities related to OHS. This may include senior management, human resources personnel, safety officers, occupational health nurses or physicians, and representatives from employee health and safety committees. Involving key personnel ensures that those directly responsible for implementing OHS policies and procedures have a say in decision-makingprocesses.

OHS specialists are professionals with specialized knowledge and expertise in occupational health and safety. They may include industrial hygienists, ergonomists, occupational health nurses or physicians, safety engineers, or consultants. Consulting with OHS specialists can provide organizations with expert advice on specific issues or face in managing workplace challenges thev mav health and safety. When seeking input from these stakeholders, key personnel, and OHS specialists, it is important to establish clear communication channels and create opportunities for meaningful engagement. This can be achieved through various methods such as surveys, focus interviews. workshops, meetings. groups, or regular Surveys can be used to gather feedback from a large number of stakeholders or employees. They can be designed to assess perceptions of workplace safety culture, identify potential hazards or risks, or gather suggestions for improvement. Surveys should be anonymous encourage honest responses. to

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Focus groups bring together a small group of stakeholders or employees to discuss specific topics related to OHS. This method allows for in-depth discussions and the exploration of different perspectives. It is important to ensure that the participants represent a diverse range of roles, departments, and experiences within the organization. Interviews provide an opportunity for one-on-one discussions with key personnel or OHS specialists. This method allows for more detailed exploration of specific issues or concerns. Interviews should be structured and guided by a set of predetermined questions to ensure consistency.

Workshops can be organized to facilitate collaboration and problem-solving among stakeholders, key personnel, and OHS specialists. These sessions can be used to develop strategies, policies, or action plans related to OHS. It is important to create a safe and inclusive environment where all participants feel comfortable sharing their ideas and perspectives.

Regular meetings should be scheduled with employee health and safety committees, management representatives, and OHS specialists to discuss ongoing OHS initiatives, review progress, and address emerging issues. These meetings provide an opportunity for continuous improvement and ensure that OHS remains a priority within the organization.

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# 1.4Ethics related to professional practice

Professional ethics are principles that govern the behavior of a person or group in a business environment. Like values, professional ethics provide rules on how a person should act towards other people and institutions in such an environment

#### **1.4.1** Some types of professional ethics

- 1.**Integrity**: Professionals in these fields are expected to demonstrate honesty, transparency, and fairness in their dealings with clients, colleagues, and other stakeholders. They should avoid conflicts of interest and act in the best interest of their clients.
- 2. **Professional Competence:** Construction and consulting professionals are expected to possess the necessary knowledge, skills, and expertise to perform their duties competently. They should continuously update their knowledge and stay informed about the latest industry practices and regulations.
- 3. **Confidentiality**: Professionals often have access to sensitive information during the course of their work. It is crucial for them to maintain confidentiality and protect the privacy of their clients. They should not disclose any confidential information without proper authorization.
- 4. **Conflict of Interest**: Construction and consulting professionals should avoid situations where their personal interests conflict with their professional obligations. They should disclose any potential conflicts of interest and take appropriate measures to mitigate them.
- 5. **Health and Safety**: Professionals have a responsibility to ensure the health and safety of workers, occupants, and the public during construction projects. They should comply with all relevant health and safety regulations and promote a culture of safety within their organizations.
- 6. **Environmental Sustainability**: Construction projects can have a significant impact on the environment. Professionals should strive to minimize environmental harm by

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adopting sustainable practices, using eco-friendly materials, and promoting energy efficiency.

- 7. **Quality Assurance:** Professionals should ensure that their work meets or exceeds industry standards and client expectations. They should conduct regular quality checks, address any deficiencies promptly, and take corrective actions as necessary.
- 8. **Social Responsibility**: Construction and consulting professionals should consider the social implications of their work. They should promote inclusivity, diversity, and equal opportunity in their projects and contribute positively to the communities in which they operate.
- 9. **Professional Conduct**: Professionals should maintain a high level of professionalism in their interactions with clients, colleagues, and the public. They should treat others with respect, avoid discriminatory practices, and refrain from engaging in unethical behavior.
- 10. Compliance with Laws and Regulations: Professionals must comply with all applicable laws, regulations, and codes of conduct governing their profession. They should stay updated on changes in legislation and ensure that their work is in full compliance.

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# 1.5 Researches to ensure workplace issues

In order to ensure the currency of information with workplace issues, it is essential to conduct both formal and informal research. Formal research involves systematic and structured methods of gathering information from authoritative sources, while informal research involves more casual and spontaneous methods of staying updated on current workplace issues. By combining these two approaches, individuals can obtain a comprehensive understanding of the latest trends, developments, and challenges in the workplace.

Formal research typically involves conducting literature reviews, analyzing academic papers, and consulting reputable publications. This type of research ensures that the information gathered is based on rigorous methodologies and has undergone a peer-review process.

#### 1.5.1 Some common formal research methods include:

- 1. **Literature Reviews:** Conducting a literature review involves searching for and analyzing existing academic papers, books, and other scholarly sources related to workplace issues. This method helps identify key concepts, theories, and empirical evidence that contribute to the understanding of current workplace trends.
- 2. **Academic Journals**: Academic journals are considered authoritative sources as they publish original research conducted by experts in various fields. Subscribing to relevant journals or accessing them through academic databases allows individuals to stay updated on the latest studies and findings related to workplace issues.
- 3. **Professional Associations:** Many professional associations have dedicated publications or newsletters that provide insights into current workplace issues within specific industries or professions. These publications often feature articles written by industry experts, offering valuable perspectives and practical advice.

Informal research complements formal research by providing real-time updates on workplace issues through less structured means.

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#### 1.5.2 Some common informal research methods include:

- 1. **News Sources**: Staying informed about current events through news outlets is an effective way to keep up with workplace issues. News websites, newspapers, television news programs, and radio broadcasts often cover topics such as labor laws, workplace diversity, employee rights, and emerging trends in different industries.
- 2. **Social Media:** Social media platforms like Twitter, LinkedIn, and Facebook can be valuable sources of information on workplace issues. Following relevant industry influencers, thought leaders, and organizations can provide insights into the latest developments and discussions within the professional community.
- 3. **Networking:** Engaging in professional networking activities, such as attending conferences, seminars, and workshops, allows individuals to connect with peers and experts in their field. These interactions provide opportunities to discuss and exchange information about current workplace issues.

To ensure the currency of information with workplace issues, it is important to critically evaluate the sources used for research. Factors such as credibility, relevance, and timeliness should be considered when selecting sources. Additionally, cross-referencing information from multiple sources can help verify its accuracy and reliability.



#### Self- check

#### **Multiple Choices**

- 1. Which government agency provides information on natural disasters and environmental risks?
  - a) United States Geological Survey (USGS)
  - b) National Institute for Occupational Safety and Health (NIOSH)
  - c) International Federation of Red Cross and Red Crescent Societies (IFRC)
  - d) World Wildlife Fund (WWF)
  - 2. Which academic database can be used to locate research papers on hazards?
  - a) Google Scholar
  - b) JSTOR
  - c) Centers for Disease Control and Prevention (CDC)
  - d) European Environment Agency (EEA)
- 3. Occupational Safety and Health Administration (OSHA) is a federal agency in which country?
  - a) United States
  - b) Canada
  - c) Australia
  - d) United Kingdom
  - 4. Safety data sheets (SDS) provide information on the properties and safe handling of:
  - a) Workplace hazards
  - b) Academic institutions
  - c) Scientific journals
  - d) Incident reports

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5. Engaging with stakeholders allows for a approach to OHS management.
a) Holistic
b) Narrow
c) Reactive
d) Isolated
True or False
1. Government agencies only provide information on natural disasters. (False)
2. Accessing academic databases requires a subscription. (True)
3. Safety data sheets (SDS) were previously known as Material Safety Data Sheets (MSDS). (True)
4. Incident reports document situations where accidents narrowly avoided. (False)
5. Engaging with stakeholders is not necessary in OHS management. (False)
Fill in the Blanks
1. Accessing external sources of information and data can greatly assist inhazards.
2. Universities and research institutions conduct studies on various hazards to advance knowledge.
3 are individuals or groups who have an interest or influence in the organization's OHS practices.
4. Professionals in construction and consulting fields are expected to demonstrate in their dealings.
5. Construction projects can have a significant impact on the Professionals should strive for environmental sustainability.

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#### **Operation Sheet:**

**Operation Title**: Conduct Hazard Identification

#### **Purpose:**

The purpose of this operation is to systematically identify and document hazards in order to ensure the safety and well-being of individuals, communities, and organizations. By conducting a thorough hazard identification process, potential risks can be identified, and appropriate mitigation strategies can be developed to create a safe work environment.

#### **Instructions:**

- 1. Obtain access to relevant sources of information and data on hazards.
- 2. Review and analyze the gathered information to identify potential hazards.
- 3. Document the identified hazards along with their characteristics and associated risks.
- 4. Evaluate the severity and likelihood of each hazard.
- 5. Prioritize the hazards based on their level of risk and potential impact.
- 6. Develop hazard mitigation strategies and control measures for each identified hazard.
- 7. Consult with stakeholders, key personnel, and OHS specialists to validate the identified hazards and proposed mitigation strategies.
- 8. Revise and finalize the hazard identification report based on feedback and recommendations.
- 9. Communicate the identified hazards and mitigation strategies to relevant stakeholders and ensure their understanding.

#### **Required Tools:**

- 1. Access to the internet and relevant websites.
- 2. Computer or mobile device.
- 3. Access to academic databases and research platforms.
- 4. Communication tools for engaging with stakeholders and personnel.

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#### **Procedures:**

- 1. Gather information from external sources, such as government agencies, academic institutions, and NGOs.
- 2. Access relevant websites, databases, and publications to locate comprehensive data on hazards.
- 3. Review research papers, reports, and publications from academic institutions.
- 4. Consult industry-specific guidelines and standards for hazard identification.
- 5. Analyze incident reports, near-miss reports, and internal data on workplace safety.
- 6. Engage with stakeholders, key personnel, and OHS specialists to gather their input and expertise.
- 7. Utilize surveys, focus groups, interviews, workshops, or regular meetings to facilitate communication and gather feedback.

### **Quality Criteria:**

- 1. The hazard identification process should be comprehensive, considering various external sources of information.
- 2. The identified hazards should be accurately documented, including their characteristics and associated risks.

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# UNIT WORK ENVIRONMENT TO IDENTIFY HAZARDS TWO:

This unit is developed to provide you the necessary information regarding the following content coverage and topics:

- hazard identification
- Potential hazard tools
- Organizational behavior
- Organizational work structure
- Basic principles of incident causation
- Task demands and environment
- Agents to work environment
- Input to stakeholder

This unit will also assist you to attain the learning outcomes stated in the cover page. Specifically, upon completion of this learning guide, you will be able to:

- Define documents and hazard identification
- Analyze and assist potential hazard tools
- Understand Organizational behavior
- Organizational work structure and work relationships
- Assess Basic principles of incident causation
- Examine task demands and task environment
- Examine agents to work environment
- Clarify seeking input to stakeholder

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#### 2.1 Hazard identification

Hazard identification is a crucial process in ensuring the safety and well-being of individuals in various settings, including workplaces, public spaces, and even homes. It involves recognizing potential hazards or risks that could cause harm or injury to people, property, or the environment. Identifying hazards is the first step towards implementing effective control measures to mitigate or eliminate these risks.

There are several occasions when action for hazard identification is required. These occasions can be categorized into proactive and reactive approaches. Proactive hazard identification involves taking preventive measures to identify potential hazards before they cause harm. Reactive hazard identification, on the other hand,

#### 2.1.1 Identifying hazards after an incident or accident has occurred.

#### 1. Proactive Hazard Identification:

- A) During the design phase: Hazard identification should be conducted during the design phase of any project or process. This includes considering potential hazards associated with equipment, machinery, materials, and layout. Designers should assess and address potential risks to ensure that safety measures are incorporated from the beginning.
- B) Prior to implementing new processes or procedures: Whenever new processes or procedures are introduced in a workplace or any other setting, hazard identification should be conducted. This helps in identifying any potential risks associated with the new processes and allows for appropriate control measures to be implemented before they are put into practice.
- C) Regular workplace inspections: Regular inspections of workplaces are essential for identifying hazards that may have arisen due to changes in work conditions, equipment malfunction, or human error. These inspections should be conducted by trained personnel who are knowledgeable about potential hazards specific to the workplace.
- D) Job hazard analysis: Job hazard analysis (JHA) is a systematic approach used to identify potential hazards associated with specific job tasks. It involves breaking down each task into steps and analyzing the potential risks associated with each step. JHA helps in identifying hazards that may not be apparent during general workplace inspections.

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E) Incident investigations: Whenever an incident or accident occurs, it is crucial to conduct a thorough investigation to identify the root causes and contributing factors. This includes identifying any hazards that may have led to the incident and taking appropriate action to prevent similar incidents in the future.

#### 2. Reactive Hazard Identification:

- A) Near misses: Near misses are incidents that could have resulted in harm or injury but did not. These incidents should be reported and investigated to identify the hazards that contributed to the near miss. Taking action based on near misses helps in preventing future accidents or injuries.
- B) Employee reports and feedback: Employees should be encouraged to report any potential hazards they observe in their workplace. Their feedback can provide valuable insights into hazards that may have been overlooked during routine inspections. Prompt action should be taken to address these reported hazards.
- C) Regulatory requirements: Compliance with regulatory requirements is essential for ensuring workplace safety. Regulatory bodies often require hazard identification as part of their inspection processes. Organizations must actively identify and address hazards to meet these requirements and maintain a safe working environment.

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#### 2.2Potential hazard tools

Analyzing potential hazards is a crucial step in ensuring safety and mitigating risks in various fields such as industrial, environmental, and occupational health and safety. To assist in this process, there are several source tools available that provide valuable information and aid in hazard analysis. These tools can help identify, assess, and manage potential hazards effectively.

#### 2.2.1 Commonly used source tools for analyzing potential hazards include:

- 1. Occupational Safety and Health Administration (OSHA) Hazard Identification Tools: OSHA, a regulatory agency under the United States Department of Labor, provides various tools to assist in hazard identification and analysis. These tools include the OSHA Hazard Identification Training Tool, which helps employers and workers identify common workplace hazards by industry sector. The OSHA Hazard Identification webpage also provides access to additional resources such as safety and health topics, publications, and standards.
- 2. National Institute for Occupational Safety and Health (NIOSH) Resources: NIOSH is a federal agency within the Centers for Disease Control and Prevention (CDC) that focuses on research and recommendations for preventing work-related injuries, illnesses, and deaths. NIOSH offers several resources to aid in hazard analysis, including the NIOSH Pocket Guide to Chemical Hazards, which provides information on hundreds of chemicals commonly found in workplaces. The NIOSH website also offers various databases, such as the NIOSH Manual of Analytical Methods (NMAM), which provides standardized methods for sampling and analyzing workplace hazards.
- 3. Environmental Protection Agency (EPA) Risk Assessment Tools: The EPA is an agency of the United States federal government responsible for protecting human health and the environment. The EPA provides several risk assessment tools that can be used to analyze potential hazards in different contexts. For example, the EPA's Integrated Risk Information System (IRIS) database contains information on human health effects that may result from exposure to various chemicals found in the environment. The EPA's Risk

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Assessment Tools and Databases webpage provides access to additional tools and resources for hazard analysis.

- 4. Chemical Safety Board (CSB) Investigations: The CSB is an independent federal agency in the United States that investigates industrial chemical accidents. While not a traditional source tool, the CSB's investigation reports can provide valuable insights into potential hazards associated with specific industries or processes. These reports often include detailed analyses of the causes and contributing factors of accidents, as well as recommendations for preventing similar incidents in the future.
- 5. International Agency for Research on Cancer (IARC) Monographs: The IARC is an agency of the World Health Organization (WHO) that specializes in researching and evaluating the carcinogenicity of various substances. The IARC Monographs on the Evaluation of Carcinogenic Risks to Humans provide comprehensive assessments of the potential hazards associated with specific chemicals, mixtures, occupational exposures, physical agents, biological agents, and lifestyle factors. These monographs are widely recognized as authoritative references for hazard analysis related to cancer risks.
- 6. National Fire Protection Association (NFPA) Codes and Standards: The NFPA is a global nonprofit organization that develops and publishes codes and standards related to fire prevention and safety. NFPA codes and standards cover a wide range of topics, including hazardous materials, electrical safety, fire protection systems, and emergency response planning. These codes and standards can be valuable resources for analyzing potential hazards in various settings, such as industrial facilities, laboratories, and healthcare facilities.
- 7. European Chemicals Agency (ECHA) REACH Database: The ECHA is an agency of the European Union responsible for implementing regulations on the registration, evaluation, authorization, and restriction of chemicals (REACH). The ECHA's REACH database provides access to information on chemical substances registered under the REACH regulation. This database includes data on the properties, uses, hazards, and safe

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handling of registered substances, making it a valuable tool for hazard analysis in the European context.

8. United Nations Globally Harmonized System of Classification and Labeling of Chemicals (GHS): The GHS is an internationally agreed-upon system for classifying and labeling hazardous chemicals. It provides criteria for hazard classification, as well as standardized labels and safety data sheets (SDS) for communicating information about hazards to users. The GHS can be used as a source tool to analyze potential hazards associated with chemicals and ensure consistent hazard communication across different countries and regions.

<b>Potential Hazards</b>	Hazard Sources
Physical: - Compressed air/gases - Flying debris - Noise - Pinching, cutting, amputation - Slipping, tripping - UV radiation	- Oxygen, acetylene, air - Grinders, saws, welders - Any power tool - Vises, power tools, hand tools - Wood/metal chips, electrical cords, oil, etc Welding
Electrical: - Overload - Fire - Shock	- Too many cords per outlet - Frayed, damaged cords - Ungrounded tools, equipment
Fire: - Flammable chemicals - Sparks - Static Sparks - Uncontrolled fire	- Gasoline, degreasers, paint thinners, etc Welders, grinders - Ungrounded tools or solvent containers - Lack of appropriate fire extinguishers
Chemical: - Toxic liquids - Toxic fumes, gases, dusts	- Cleaning solvents, degreasers, etc Welding, motor exhaust, etc.

Figure 2.1Potential Hazard Identification

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# 2.3Organizational behavior

Organizational behavior and culture play a significant role in occupational health and safety (OHS) as well as in the process of change within an organization. Understanding the basic physiology relevant to the mode of action of physical, biological, and chemical agents on the body is crucial in comprehending how they produce harm. In this comprehensive response, we will explore the impact of organizational behavior and culture on OHS, as well as delve into the basic physiology behind the harmful effects of various agents on the human body.

#### 2.3.1 Organizational Behavior and Culture's Impact on OHS:

Organizational behavior refers to the study of how individuals and groups behave within an organization. It encompasses various aspects such as communication, leadership, decision-making, motivation, and teamwork. The culture of an organization refers to its shared values, beliefs, norms, and practices that shape the behavior of its members.

The impact of organizational behavior and culture on OHS can be profound. A positive organizational culture that prioritizes safety fosters a safe working environment where employees are encouraged to report hazards, near misses, and accidents without fear of reprisal. This open reporting culture enables organizations to identify potential risks and implement preventive measures effectively.

On the other hand, a negative organizational culture can have detrimental effects on OHS. If an organization does not prioritize safety or if there is a lack of communication and collaboration among employees, it can lead to increased risks and accidents. Additionally, a culture that discourages reporting or blames individuals for accidents may result in underreporting of incidents, hindering efforts to identify hazards and implement corrective actions.

Leadership plays a crucial role in shaping organizational behavior and culture. Effective leaders who prioritize safety set clear expectations for their employees regarding OHS practices. They provide resources, training, and support to ensure that employees have the necessary knowledge and skills to perform their tasks safely. Furthermore, leaders

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who lead by example by following safety protocols themselves create a positive safety culture within the organization.

Basic Physiology of Harmful Agents on the Body:

To understand how physical, biological, and chemical agents produce harm, it is essential to grasp the basic physiology involved.

# 2.3.2 The human body has various systems and mechanisms that can be affected by these agents.

- 1. **Physical Agents:** Physical agents include factors such as noise, vibration, temperature extremes, radiation, and ergonomic hazards. These agents can cause harm through different mechanisms. For example, excessive noise exposure can damage the delicate structures of the inner ear, leading to hearing loss. Vibration can cause musculoskeletal disorders by affecting muscles, joints, and nerves. Extreme temperatures can disrupt the body's thermoregulation mechanisms, leading to heat or cold-related illnesses.
- 2. **Biological Agents**: Biological agents encompass microorganisms such as bacteria, viruses, fungi, and parasites that can cause harm to the human body. These agents can enter the body through various routes, including inhalation, ingestion, or direct contact with infected individuals or contaminated surfaces. Once inside the body, they can disrupt normal physiological processes and cause infections or diseases. For example, respiratory viruses can infect the respiratory system and lead to illnesses such as influenza or COVID-19.
- 3. Chemical Agents: Chemical agents include substances such as toxic gases, solvents, heavy metals, pesticides, and carcinogens. These agents can enter the body through inhalation, ingestion, or skin absorption. Once inside the body, they can interact with various organs and systems and disrupt their normal functioning. Chemical agents can cause acute effects such as irritation or poisoning immediately after exposure or chronic effects such as cancer or organ damage after prolonged exposure.

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# 2.4Organizational work structure

Workforce structure, organization of work, and work relationships play a crucial role in determining the potential for injury or ill health in a workplace. By examining these factors, it becomes possible to identify situations that may pose risks to the well-being of employees. This comprehensive analysis considers various aspects such as job roles, physical and psychosocial hazards, communication channels, and management practices.

#### **Workforce Structure:**

The workforce structure refers to the composition of employees within an organization, including their roles, responsibilities, and qualifications. It is essential to assess whether the workforce has the necessary skills and knowledge to perform their tasks safely. Situations where employees lack proper training or experience can increase the likelihood of accidents or injuries.

For instance, in industries such as construction or manufacturing, where specialized skills are required, employing workers without adequate training or certification can lead to serious accidents. Similarly, in healthcare settings, having understaffed shifts or employing unqualified personnel can compromise patient safety and increase the risk of medical errors.

#### **Organization of Work:**

The organization of work encompasses how tasks are structured, distributed, and coordinated within a workplace. It involves examining factors such as workload, shift patterns, working hours, and the use of technology or automation. These elements can significantly impact employee well-being and safety.

Excessive workload or unrealistic deadlines can lead to stress, fatigue, and burnout among employees. This can impair concentration and decision-making abilities, increasing the likelihood of accidents or errors. Additionally, irregular shift patterns or long working hours without sufficient rest breaks can contribute to fatigue-related incidents.

The introduction of new technologies or automation should also be carefully managed to ensure that employees receive adequate training and support. Failure to do so may result

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in unfamiliarity with equipment or processes, leading to accidents or injuries. **Work Relationships:** 

Work relationships refer to interactions between individuals within a workplace, including communication channels, teamwork dynamics, and management practices. Positive work relationships are essential for maintaining a safe and healthy work environment.

Effective communication channels are crucial for sharing information about hazards, safety procedures, and potential risks. Inadequate communication or lack of clarity can lead to misunderstandings, resulting in accidents or injuries. Additionally, poor teamwork dynamics or conflicts among employees can create distractions and impair focus, Management practices also play a significant role in ensuring employee safety. A supportive and proactive management approach that prioritizes safety measures and encourages employee participation in decision-making can contribute to a safer work environment. Conversely, a lack of management commitment to safety or a punitive culture can discourage reporting of hazards or near misses, hindering the identification and prevention of potential risks.



Figure 2.2 construction project organization structures

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### 2.5Basic principles of incident causation

In the construction industry, incidents and injuries can occur due to various factors. Understanding the basic principles of incident causation and injury processes is crucial for preventing accidents and promoting a safe working environment. This comprehensive response will delve into these principles in detail.

#### 2.5.1 Principles of incidents

#### 1. Incident Causation:

Incident causation refers to the factors that contribute to the occurrence of an incident or accident. Several theories and models have been developed to explain the causation of incidents, including the domino theory, the Swiss cheese model, and the systems theory.

- Domino Theory: The domino theory suggests that incidents are caused by a chain of events, where each event acts as a "domino" that triggers the next event. According to this theory, incidents occur due to a sequence of failures or errors, starting from an initial triggering event. For example, a worker slipping on a wet surface may lead to a fall from height if proper safety measures were not in place.
- Swiss Cheese Model: The Swiss cheese model views incidents as a result of multiple barriers or defenses failing simultaneously. Each barrier represents a layer of protection against potential hazards. However, when these barriers have holes or weaknesses aligning, incidents can occur. These holes can be caused by factors such as inadequate training, equipment failure, or human error.
- Systems Theory: The systems theory emphasizes that incidents are not solely caused by individual actions but are influenced by various interconnected factors within the work system. It considers both active failures (e.g., unsafe acts) and latent conditions (e.g., organizational culture, management decisions) that contribute to incidents. This theory highlights the importance of addressing underlying systemic issues rather than solely focusing on individual behavior.

#### 2. Injury Processes:

Understanding injury processes is essential for identifying potential hazards and implementing effective preventive measures in construction settings. Injuries can result

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from various mechanisms, including falls, struck-by incidents, caught-in or between accidents, and overexertion.

- Falls: Falls are a leading cause of injuries in construction. They can occur from heights, such as scaffolds, ladders, or roofs, as well as on the same level due to slips and trips. Factors contributing to falls include inadequate fall protection systems, improper use of equipment, lack of training, and poor housekeeping.
- Struck-by Incidents: Struck-by incidents involve workers being hit by objects or vehicles. This can occur when materials or tools fall from heights, vehicles collide with workers, or moving machinery strikes individuals. Factors contributing to struck-by incidents include inadequate signage or barriers, lack of visibility, and failure to follow proper procedures.
- Caught-in or Between Accidents: Caught-in or between accidents occur when
  workers are caught, crushed, squeezed, or trapped between objects or machinery.

  Examples include being caught in machinery parts, being pinned between
  vehicles or equipment, or being trapped in collapsing structures. These accidents
  can result from inadequate guarding measures, lack of lockout
- Overexertion: Overexertion injuries are caused by excessive physical effort and strain on the body. In construction, this can occur due to repetitive tasks, lifting heavy objects without proper techniques or assistance, and working in awkward



Figure 2.3Basic principles of incident causation and risk management

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# 2.6 Task demands and environment

Task demands and the task environment play a crucial role in determining the potential for injury or ill health in individuals. By examining these factors, it becomes possible to identify situations that may pose risks to the well-being of individuals. This comprehensive analysis takes into account various aspects such as physical demands, cognitive demands, environmental conditions, and organizational factors.

# 2.6.1 Types of task demand

# • Physical Demands:

Physical demands refer to the physical requirements of a task, including the exertion level, repetitive motions, force application, and postural requirements. Tasks that involve heavy lifting, prolonged standing or sitting, repetitive movements, or awkward postures can increase the risk of musculoskeletal disorders (MSDs) such as back pain, strains, and sprains. Additionally, exposure to vibration or noise can also have detrimental effects on an individual's health.

# • Cognitive Demands:

Cognitive demands encompass the mental requirements of a task, including attention, memory, decision-making, and problem-solving. Tasks that require high levels of concentration for extended periods or involve complex decision-making can lead to mental fatigue and increased stress levels. These factors can contribute to decreased performance, impaired judgment, and increased likelihood of errors or accidents.

#### • Environmental Conditions:

Environmental conditions refer to the physical surroundings in which a task is performed. Factors such as temperature extremes (hot or cold), humidity levels, lighting conditions, air quality (presence of pollutants or allergens), and ergonomic design of workstations can significantly impact an individual's well-being. Exposure to extreme temperatures or poor air quality can lead to heat stress or respiratory issues. Inadequate lighting or improper ergonomic setup may result in eye strain, musculoskeletal discomfort, and other related health problems.

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# • Organizational Factors:

Organizational factors include aspects related to work scheduling, workload distribution, job control, social support systems, and overall work culture. High workload demands without sufficient resources or time constraints can lead to increased stress levels and fatigue, which can negatively affect an individual's physical and mental health. Lack of social support or poor communication within the organization can also contribute to stress and decreased well-being.

By examining the task demands and task environment, it becomes possible to identify situations with a potential for injury or ill health. This analysis allows organizations to implement appropriate preventive measures and interventions to mitigate risks and ensure the well-being of their

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# 2.7Agents to work environment

The work environment for agents can vary greatly depending on the industry and specific job role. However, there are several common factors that contribute to the potential for injury or ill health in the workplace. These factors can include physical hazards, ergonomic issues, exposure to harmful substances, psychological stressors, and inadequate safety measures. It is crucial for employers to identify and address these potential risks to ensure the health and safety of their employees.

**Physical Hazards:** One of the primary sources of potential injury in the workplace is physical hazards. These hazards can include slippery floors, uneven surfaces, exposed electrical wires, falling objects, machinery without proper guarding, and inadequate lighting. Employees who work in environments with these hazards are at a higher risk of slips, trips, falls, electrocution, and other accidents that can result in injuries.

**Ergonomic Issues:** Poor ergonomics can lead to musculoskeletal disorders (MSDs) and other health problems. Jobs that require repetitive motions, awkward postures, or heavy lifting without proper training or equipment can cause strain on muscles, tendons, ligaments, and joints. Common ergonomic issues include poorly designed workstations, improper seating arrangements, lack of adjustable equipment, and inadequate rest breaks. Employees who work in office settings or jobs that involve prolonged sitting or repetitive tasks are particularly susceptible to these issues.

**Exposure to Harmful Substances**: Many workplaces involve exposure to harmful substances such as chemicals, gases, fumes, dust particles, biological agents, and radiation. Exposure to these substances can lead to various health problems ranging from skin irritation and respiratory issues to long-term illnesses such as cancer or organ damage. Industries such as manufacturing, construction, healthcare, agriculture, and laboratories often have higher risks of exposure to hazardous substances.

**Psychological Stressors:** Work-related stress is a significant concern that can impact an employee's mental and physical well-being. High-pressure environments with demanding deadlines, excessive workload, lack of control or support, bullying, harassment, and job

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insecurity can lead to stress-related illnesses. Chronic stress can contribute to conditions such as anxiety, depression, cardiovascular diseases, and weakened immune systems.

**Inadequate Safety Measures:** Failure to implement proper safety measures and protocols can significantly increase the risk of injuries and ill health in the workplace. This includes insufficient training on safety procedures, lack of personal protective equipment (PPE), inadequate maintenance of equipment and machinery, absence of emergency response plans, and poor communication regarding potential hazards. Employers have a legal obligation to provide a safe working environment for their employees and must take proactive measures to prevent accidents and protect their workers' health.

To mitigate the potential for injury or ill health in the workplace, employers should conduct regular risk assessments to identify hazards and implement appropriate control measures. This may involve providing proper training on safety procedures, ensuring ergonomic workstations, implementing effective ventilation systems, using appropriate PPE, promoting a positive work culture that addresses psychological stressors, and regularly reviewing and updating safety policies.

In conclusion, the work environment for agents can pose various risks to their health and safety. Physical hazards, ergonomic issues, exposure to harmful substances, psychological stressors, and inadequate safety measures are all factors that contribute to the potential for injury or ill health. Employers must prioritize the identification and mitigation of these risks to create a safe and healthy work environment for their employees.

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# 2.8Input to stakeholder

When seeking input from stakeholders to clarify and confirm issues, it is essential to follow a systematic approach to ensure effective communication and understanding. Stakeholders can include individuals or groups who have a vested interest in the project, such as clients, customers, employees, suppliers, regulators, and the community. Engaging with stakeholders helps to gather diverse perspectives, identify potential challenges, and build consensus.

To begin the process of seeking input from stakeholders, it is crucial to define the purpose and objectives of the engagement. This includes determining what specific issues need clarification or confirmation and how stakeholder input will contribute to addressing these issues. Clearly articulating the goals of the engagement helps to set expectations and ensure that all parties understand the purpose of their involvement.

Once the purpose is established, it is important to identify the relevant stakeholders. This can be done by conducting a stakeholder analysis, which involves identifying individuals or groups who may be affected by or have an impact on the project. Stakeholders can be categorized based on their level of influence, interest, or expertise in the subject matter. It is important to consider both internal stakeholders (e.g., employees) and external stakeholders (e.g., customers or community members) when conducting this analysis.

After identifying the stakeholders, it is necessary to determine the most appropriate methods for engaging with them. This can vary depending on factors such as the size of the stakeholder group, their geographic location, and their availability. Common methods for seeking stakeholder input include surveys, interviews, focus groups, workshops, public meetings, and online platforms.

When designing surveys or interview questions, it is important to ensure they are clear, concise, and unbiased. Open-ended questions can encourage stakeholders to provide detailed responses and insights. It may also be helpful to provide context or background information to help stakeholders understand the issues at hand.

During interviews or focus groups, active listening skills should be employed to ensure that Stakeholders feel heard and valued. This includes paraphrasing their responses,

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asking clarifying questions, and summarizing key points. It is important to create a safe and inclusive environment where stakeholders feel comfortable expressing their opinions and concerns.

After gathering input from stakeholders, it is essential to analyze the data collected. This can involve identifying common themes, patterns, or areas of agreement and disagreement. It may be helpful to use tools such as stakeholder mapping or affinity diagrams to organize and visualize the data.

Once the data has been analyzed, it is important to communicate the findings back to the stakeholders. This can be done through written reports, presentations, or interactive workshops. It is crucial to provide feedback on how stakeholder input has influenced decision-making and demonstrate that their contributions were valued.

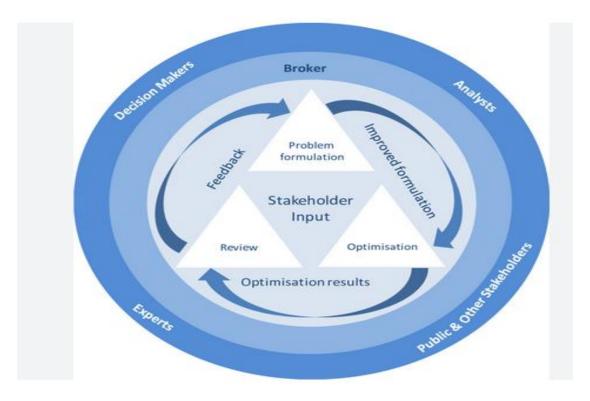


Figure 2.4 Input from stakeholders to clarify and confirm issues

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Self-check

# **Multiple Choice Questions:**

1. Hazard identification involves recognizing potential risks that could cause harm or
injury to
a) Animals
b) Property
c) Plants
d) All of the above
2. Proactive hazard identification involves taking preventive measures
a) After an incident has occurred
b) Before an incident occurs
c) During an incident
d) None of the above
3. Job hazard analysis (JHA) is a systematic approach used to identify potential hazards associated with
a) Specific job tasks
b) General workplace conditions
c) Employee feedback
d) Near misses
4. Near misses are incidents that
a) Result in harm or injury
b) Did not result in harm or injury
c) Only occur in workplaces
d) Are reported by regulatory bodies
5. Compliance with regulatory requirements is essential for ensuring

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- a) Workplace hazards
- b) Employee feedback
- c) Workplace safety
- d) Reactive hazard identification

# **True or False Questions:**

- 1. Hazard identification is only necessary in workplaces.
- 2. Proactive hazard identification involves identifying hazards after an incident has occurred.
- 3. Regular workplace inspections are not necessary for identifying hazards.
- 4. Near misses should be reported and investigated to identify potential hazards.
- 5. Compliance with regulatory requirements is not important for maintaining a safe working environment.

# **Blank Space Questions:**

1. Name two proactive approaches for hazard identification.
Answer 1:
2. Which regulatory agency provides tools for hazard identification in the United States?
Answer:
3. Name one resource provided by the National Institute for Occupational Safety and
Health (NIOSH) for hazard analysis.
Answer:
4. What is the role of workforce structure in determining potential risks in a workplace?
Answer:
5. Explain the importance of effective communication channels in maintaining a safe
work environment.
Answer

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# **Operation Sheet**

**Operation Title**: Hazard Identification

#### **Instruction:**

Hazard identification is a crucial process that helps ensure the safety and well-being of individuals in various settings. This operation sheet provides instructions on how to conduct hazard identification effectively.

#### **Purpose:**

The purpose of this operation is to identify potential hazards or risks in various settings to ensure the safety of individuals, property, and the environment. Hazard identification allows for the implementation of effective control measures to mitigate or eliminate these risks.

#### **Procedures:**

#### 1. Proactive Hazard Identification:

- During the design phase: Consider potential hazards associated with equipment, machinery, materials, and layout.
- Prior to implementing new processes or procedures: Conduct hazard identification before implementing new processes.
- Regular workplace inspections: Conduct regular inspections to identify hazards due to changes in work conditions, equipment malfunction, or human error.
- Job hazard analysis: Use the systematic approach of job hazard analysis to identify potential hazards associated with specific job tasks.
- Incident investigations: Conduct thorough investigations after incidents or accidents to identify root causes and contributing factors.

#### 2. Reactive Hazard Identification:

- •Near misses: Report and investigate near misses to identify the hazards that contributed to them.
- Employee reports and feedback: Encourage employees to report potential hazards observed in the workplace.

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 Regulatory requirements: Comply with regulatory requirements that include hazard identification as part of inspections.

# **Required Tools:**

- Documentation related to workplace processes, procedures, and equipment.
- Training materials on hazard identification and control measures.
- Incident investigation forms and templates.
- Reporting mechanisms for near misses and employee feedback.
- Relevant regulatory guidelines and requirements.

# **Quality Criteria:**

- All potential hazards are identified and documented.
- Hazard identification is conducted at appropriate stages, such as during the design phase, before implementing new processes, and through regular inspections.
- Thorough incident investigations are performed to identify hazards that may have contributed to incidents.

#### **Precautions:**

- Ensure that personnel conducting hazard identification are adequately trained and knowledgeable about potential hazards.
- Adhere to safety protocols and guidelines during hazard identification activities.
- Use appropriate personal protective equipment (PPE) when necessary.
- Maintain clear communication channels to report hazards and provide feedback.
- Document all identified hazards and actions taken to address them.

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# UNIT THREE: ASSESS RISK ASSOCIATED CONTROL RISK

This unit is developed to provide you the necessary information regarding the following content coverage and topics:

- Factors contributing to risk
- Current risk controls
- Evaluate current controls to relevant standards and knowledge
- Identify current controls and required quality of control
- Priorities hazards to control action
- Document risk assessment method

This unit will also assist you to attain the learning outcomes stated in the cover page. Specifically, upon completion of this learning guide, you will be able to:

- Identifying factors contributing to risk
- Identifying current risk controls
- Evaluating current controls to relevant standards and knowledge
- Identifying current controls and required quality of control
- Priorities hazards to control action
- Document risk assessment method

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## 3.1 Factors contributing to risk

Risk is a multifaceted concept that can be influenced by various factors. These factors can contribute to the likelihood and severity of risks in different contexts, such as financial, environmental, health, or technological domains. Understanding these contributing factors is crucial for effective risk management and mitigation strategies. In this comprehensive response, we will explore some of the key factors that contribute to risk across different domains.

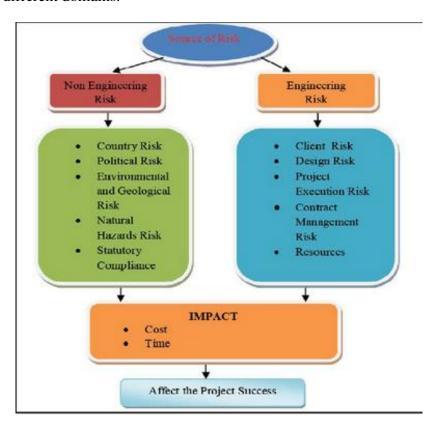


Figure 3.1 Risk Factors Involved in the Construction Projects

# 3.1.1 Keys factors

#### 1. Human Factors:

Human factors play a significant role in contributing to risk in various domains. These factors include human error, negligence, lack of training or experience, inadequate decision-making processes, and behavioral aspects such as overconfidence or complacency. Human error can occur due to a variety of reasons, including fatigue, distraction, stress, or lack of concentration. Negligence refers to the failure to take

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reasonable care or follow established protocols and procedures. Inadequate training or experience can lead to mistakes or improper handling of situations. Additionally, biases and cognitive limitations can influence decision-making processes and increase the likelihood of errors.

#### 2. Environmental Factors:

Environmental factors encompass a wide range of elements that can contribute to risk. Natural disasters such as earthquakes, hurricanes, floods, wildfires, and tsunamis pose significant risks to human life and infrastructure. Climate change-related events like extreme weather conditions and rising sea levels also increase the vulnerability to risks in many regions. Environmental degradation caused by pollution, deforestation, soil erosion, and habitat destruction can have long-term consequences on ecosystems and human well-being. Moreover, geographical location and proximity to hazardous areas or unstable terrains can amplify the exposure to certain risks.

### 3. Technological Factors:

Technological advancements have revolutionized various industries but have also introduced new risks. The complexity and interconnectedness of modern technologies create vulnerabilities that can be exploited by malicious actors or result in unintended consequences. Cybersecurity threats pose significant risks in today's digital age, with potential impacts ranging from data breaches and identity theft to disruption of critical infrastructure or services. Technological failures, such as system malfunctions, software bugs, or hardware defects, can lead to accidents, financial losses, or compromised safety. Additionally, the rapid pace of technological innovation can outpace regulatory frameworks and ethical considerations, further contributing to risk.

#### 4. Economic Factors:

Economic factors can significantly influence risk in financial markets, business operations, and investment decisions. Market volatility, economic recessions, inflation, exchange rate fluctuations, and geopolitical events can all contribute to financial risks. Poor financial management practices, excessive debt, inadequate risk assessment, or lack of diversification can increase the vulnerability of businesses and individuals to financial

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risks. Moreover, income inequality and socioeconomic disparities can amplify the exposure to various risks for marginalized populations.

#### 5. Social and Cultural Factors:

Social and cultural factors shape risk perceptions and behaviors within societies. Cultural norms, values, beliefs, and attitudes towards risk can influence individual and collective decision-making processes. Social dynamics such as peer pressure or groupthink can lead to risky behaviors or the neglect of potential risks. Moreover, social inequalities and marginalization can result in differential access to resources and opportunities, thereby increasing **vulnerability** to various risks.

# 6. Regulatory and Legal Factors:

Regulatory frameworks and legal systems play a crucial role in managing and mitigating risks across different domains. Inadequate regulations or weak enforcement can create an environment where risks are not adequately addressed or controlled. Conversely, stringent regulations that are overly burdensome or lack flexibility may hinder innovation or economic growth. Effective risk management requires a balance between regulatory oversight and encouraging responsible practices.

#### 7. Health Factors:

Health-related factors contribute significantly to risk in the context of public health emergencies, infectious diseases outbreaks, or individual health conditions. The emergence of new pathogens or drug-resistant strains poses challenges for healthcare systems worldwide. Lack of access to healthcare services, inadequate infrastructure, poor sanitation, or limited resources can increase the vulnerability to health risks, particularly in developing regions. Additionally, lifestyle choices, such as smoking, poor nutrition, sedentary behavior, or substance abuse, can contribute to various health risks at the individual level.

#### 8. Political Factors:

Political factors can influence risk through policy decisions, governance structures, and geopolitical dynamics. Political instability, corruption, weak institutions, or conflicts can create an environment where risks are heightened. Inadequate disaster preparedness or

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response mechanisms due to political factors can exacerbate the impacts of natural disasters or public health emergencies. Moreover, geopolitical tensions and international relations can introduce risks related to security threats, trade disruptions, or resource conflicts.

Risk is influenced by a multitude of factors across different domains. Human factors, environmental factors, technological factors, economic factors, social and cultural factors, regulatory and legal factors, health factors, and political factors all contribute to the likelihood and severity of risks in various contexts. Understanding these contributing factors is essential for effective risk management and mitigation strategies.



# 3.2Current risk controls

Construction projects involve various hazards that can pose risks to the safety and well-being of workers and the success of the project. To mitigate these risks, construction companies implement a range of risk controls specific to each hazard.

#### 3.2.1 Some current construction risk controls for common hazards:

- 1. **Falls from Heights**: Falls from heights are one of the leading causes of injuries and fatalities in the construction industry. To control this hazard, several measures can be implemented, including:
  - Guardrails and Barriers: Installing guardrails and barriers around open edges, floor openings, and roof perimeters can prevent falls by creating physical barriers.
  - Safety Nets: Safety nets can be installed beneath elevated work areas to catch workers in case of a fall.
  - Personal Fall Arrest Systems (PFAS): PFAS, such as harnesses and lanyards, are used to arrest falls by securing workers to an anchor point.
- 2. **Electrical Hazards**: Construction sites often involve electrical systems and equipment, which can pose significant risks if not properly controlled. Risk controls for electrical hazards include:
  - Lockout/Tagout Procedures: Lockout/tagout procedures ensure that electrical equipment is de-energized before maintenance or repairs, preventing accidental electrocution.
  - Ground Fault Circuit Interrupters (GFCIs): GFCIs are installed in electrical outlets to quickly shut off power in the event of a ground fault, reducing the risk of electric shock.
  - Proper Wiring and Grounding: Ensuring proper wiring practices and grounding systems minimize the risk of electrical malfunctions and electrocution.
- 3. **Struck-by Hazards:** Struck-by hazards occur when workers are hit by moving objects or vehicles on construction sites. Risk controls for struck-by hazards include:

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- High-Visibility Clothing: Workers should wear high-visibility clothing to enhance their visibility to equipment operators and other workers.
- Barricades and Warning Signs: Barricades and warning signs should be used to mark hazardous areas and alert workers to potential dangers.
- Safety Equipment for Vehicles: Construction vehicles should be equipped with backup alarms, cameras, and proximity sensors to reduce the risk of striking workers.
- 4. **Trenching and Excavation Hazards:** Trenching and excavation work can present risks such as cave-ins, falls, and hazardous atmospheres. Risk controls for these hazards include:
  - Protective Systems: Installing protective systems like shoring, sloping, or trench boxes can prevent soil collapse and cave-ins.
  - Testing for Hazardous Atmospheres: Before workers enter a trench or excavation, testing should be conducted to identify any hazardous gases or lack of oxygen.
  - Access and Egress: Providing safe access and egress points, such as ladders or ramps, ensures that workers can enter and exit trenches safely.
- 5. **Material Handling Hazards**: Material handling activities on construction sites can lead to injuries if not properly controlled. Risk controls for material handling hazards include:
  - Proper Lifting Techniques: Training workers on proper lifting techniques can help prevent strains and sprains.
  - Mechanical Aids: Using mechanical aids like cranes, forklifts, or conveyors reduces the need for manual lifting and minimizes the risk of injuries.
  - Storage and Stacking: Proper storage and stacking of materials ensure stability and prevent them from falling onto workers.

These are just a few examples of risk controls for common construction hazards. It is important to note that specific risk controls may vary depending on the nature of the project, local regulations, and industry best practices.

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#### 3.3 Evaluate current controls to relevant standards

The evaluation of the adequacy of current construction risk controls requires an assessment of the existing measures in place and their alignment with relevant standards and knowledge. Construction projects are inherently complex and involve various risks that can impact safety, cost, schedule, and quality. Therefore, it is crucial to have effective risk controls in place to mitigate these risks and ensure successful project delivery.

One of the primary sources for evaluating construction risk controls is relevant standards. These standards provide guidelines and best practices for managing risks in construction projects. For example, the International Organization for Standardization (ISO) has developed several standards related to risk management in construction, such as ISO 31000:2018 - Risk management - Guidelines, and ISO 9001:2015 - Quality management systems - Requirements. These standards outline the principles, processes, and techniques for identifying, assessing, and treating risks in construction projects.

In addition to standards, knowledge from industry experts and research plays a crucial role in evaluating the adequacy of construction risk controls. This knowledge encompasses lessons learned from past projects, case studies, academic research, and advancements in technology. It provides insights into emerging risks, innovative risk control measures, and industry best practices.

To evaluate the adequacy of current construction risk controls, it is essential to consider various aspects:

- 1. **Risk Identification:** Adequate risk controls should start with a comprehensive identification of potential risks associated with the project. This involves considering both internal and external factors that can impact project objectives. Internal factors may include design complexity, site conditions, resource availability, while external factors may include regulatory changes, market conditions, or natural disasters. The risk identification process should be systematic and involve input from all relevant stakeholders.
- 2. **Risk Assessment:** Once risks are identified, they need to be assessed in terms of their likelihood of occurrence and potential impact on the project. This assessment helps

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prioritize risks based on their significance and enables the allocation of appropriate resources for mitigation. Adequate risk controls should involve a robust risk assessment process that considers both qualitative and quantitative analysis techniques. This may include probability analysis, impact assessment, and risk scoring methodologies.

- 3. **Risk Mitigation:** After assessing the risks, appropriate measures need to beimplemented to mitigate or reduce their impact. Adequate risk controls should include a range of mitigation strategies tailored to the specific risks identified. These strategies may include design modifications, safety protocols, contingency planning, insurance coverage, contractual arrangements, or the use of advanced technologies. The effectiveness of these measures should be regularly monitored and reviewed throughout the project lifecycle.
- 4. **Communication and Collaboration:** Adequate construction risk controls require effective communication and collaboration among all project stakeholders. This includes clear communication of risks, responsibilities, and mitigation measures to all parties involved. Regular meetings, progress reports, and feedback mechanisms should be established to ensure that risk controls are understood and implemented consistently.
- 5. Continuous Improvement: Construction projects are dynamic in nature, and risks can evolve over time. Therefore, adequate risk controls should incorporate a culture of continuous improvement. Lessons learned from previous projects should be documented and shared within the organization to enhance future risk management practices. Regular reviews and audits should be conducted to identify areas for improvement and update risk controls

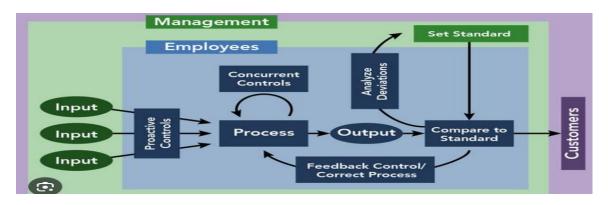


Figure 3.2 the control process principles of management

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# 3.4Identify current controls and required quality of control

Discrepancies between current controls and the required quality of control

In order to identify discrepancies between current controls and the required quality of control, it is important to first understand what control means in this context. Control refers to the measures and procedures put in place to manage risks, ensure compliance with regulations and standards, and achieve desired outcomes.

The required quality of control can vary depending on the industry, organization, and specific objectives.

# 3.4.1 Some common discrepancies that can be identified across different contexts:

- 1. **Inadequate control design:** One discrepancy that can arise is when the design of controls is not aligned with the desired outcomes or objectives. This can occur when controls are outdated, not properly documented, or not tailored to address specific risks. For example, if an organization's objective is to protect sensitive customer data, but their control design does not include encryption measures or access restrictions, there is a clear discrepancy between the required quality of control and the current controls in place.
- 2. Lack of monitoring and review: Another discrepancy can be observed when there is a lack of ongoing monitoring and review of controls. Controls should be regularly assessed to ensure they are functioning as intended and are effective in managing risks. Without proper monitoring, organizations may fail to detect control failures or weaknesses, leading to potential compliance breaches or operational inefficiencies. For instance, if an organization has implemented a control to prevent unauthorized access to its systems but does not regularly review access logs or conduct penetration testing, they may not be aware of potential vulnerabilities or unauthorized access attempts.
- 3. **Insufficient training and awareness:** A common discrepancy between current controls and the required quality of control is the lack of training and awareness among employees. Controls can only be effective if employees understand their purpose, know how to implement them correctly, and are aware of the potential risks they are designed to mitigate. If employees are not adequately trained on control procedures or are not

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aware of the importance of compliance, there is a higher likelihood of control failures or non-compliance. For example, if an organization has implemented a control to prevent social engineering attacks but does not provide training on recognizing and reporting suspicious activities, employees may unknowingly fall victim to such attacks.

It is important to note that the discrepancies mentioned above are not exhaustive, and there can be other specific discrepancies depending on the industry, regulatory requirements, and organizational context.

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# 3.5Priorities hazards to control action

In order to determine the priorities for hazards requiring further control action, it is important to assess the potential risks associated with various hazards and prioritize them based on their severity and likelihood of occurrence. This process involves identifying hazards, evaluating their potential consequences, and determining the effectiveness of existing control measures.

# 3.5.1 Some common hazards that often require further control action:

- 1. **Chemical Hazards:** Chemical hazards refer to substances that can cause harm to human health or the environment. These hazards can include toxic chemicals, flammable materials, corrosive substances, and reactive compounds. It is crucial to identify and control chemical hazards in workplaces, manufacturing facilities, and other environments where these substances are present. This may involve implementing proper storage and handling procedures, providing personal protective equipment (PPE), and ensuring adequate ventilation systems.
- 2. **Physical Hazards:** Physical hazards are conditions or factors within the environment that can cause harm or injury to individuals. These hazards can include noise, vibration, radiation, extreme temperatures, and ergonomic risks such as repetitive motion or awkward postures. Controlling physical hazards often requires engineering controls such as soundproofing, insulation, shielding, or ergonomic redesign of workstations. Additionally, administrative controls like training programs, work rotation schedules, and regular breaks can also be implemented to reduce exposure to physical hazards.
- 3. **Biological Hazards**: Biological hazards refer to organisms or substances derived from living organisms that can cause harm to human health. These hazards can include bacteria, viruses, fungi, parasites, allergens, and toxins produced by living organisms. In order to control biological hazards effectively, it is important to implement proper hygiene practices such as handwashing and sanitization protocols. Additionally, personal protective equipment (PPE) like gloves, masks, and gowns may be necessary in certain situations where there is a risk of exposure to biological agents.

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- 4. **Ergonomic Hazards:** Ergonomic hazards are related to the design of workstations, tools, and equipment, as well as the organization of work tasks. These hazards can lead to musculoskeletal disorders (MSDs) such as back pain, repetitive strain injuries, and carpal tunnel syndrome. To control ergonomic hazards, it is important to assess and modify workstations and equipment to ensure proper ergonomics. This may involve adjusting chair heights, providing ergonomic keyboards or mouse pads, and implementing regular breaks or job rotation to reduce the risk of MSDs.
- 5. **Psychosocial Hazards:** Psychosocial hazards are related to the social and organizational aspects of work that can affect mental health and well-being. These hazards can include excessive workload, long working hours, workplace violence, bullying, and lack of support or recognition. Controlling psychosocial hazards requires creating a positive work environment that promotes work-life balance, provides support systems for employees, and addresses issues related to workload and stress management.
- 6. **Fire Hazards:** Fire hazards refer to conditions or materials that can ignite and cause fires. These hazards can include flammable liquids, gases, combustible materials, faulty electrical systems, and inadequate fire prevention measures. Controlling fire hazards involves implementing fire safety measures such as installing fire alarms, extinguishers, sprinkler systems, and ensuring proper storage and handling of flammable materials.
- 7. **Electrical Hazards:** Electrical hazards are associated with the use of electricity in various settings. These hazards can include electric shock, electrocution, burns, and fires caused by faulty wiring or equipment. Controlling electrical hazards requires implementing proper electrical safety procedures such as regular inspections of electrical systems, ensuring proper grounding and insulation, providing appropriate training for workers handling electrical equipment, and using lockout/tagout procedures during maintenance or repair activities.
- 8. **Environmental Hazards:** Environmental hazards refer to factors in the natural environment that can pose risks to human health or ecosystems. These hazards can include air pollution, water contamination, soil contamination, noise pollution, and radiation exposure. Controlling environmental hazards often involves implementing

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pollution prevention measures, waste management practices, and monitoring systems to ensure compliance with environmental regulations.

In determining the priorities for hazards requiring further control action, it is essential to conduct a thorough risk assessment that considers the severity and likelihood of each hazard. This assessment should take into account factors such as the potential for harm, the number of people exposed, the frequency and duration of exposure, and the effectiveness of existing control measures. By prioritizing hazards based on these factors, organizations can allocate resources effectively to address the most significant risks.



Figure 3.3 hazard control action

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## 3.6Document risk assessment method

Construction risk assessment is a crucial process that helps identify potential risks and hazards associated with construction projects. It involves evaluating the likelihood and impact of these risks and developing strategies to mitigate or manage them effectively. This comprehensive assessment ensures that construction projects are carried out safely, efficiently, and within budget.

# 3.6.1 Conducting a construction risk assessment typically involves several key steps:

- 1. **Identify potential risks:** The first step is to identify all potential risks that may arise during the construction project. This includes considering various factors such as site conditions, weather conditions, design complexity, project duration, regulatory requirements, and the involvement of multiple stakeholders.
- 2. **Assess likelihood and impact:** Once the risks are identified, they need to be assessed in terms of their likelihood of occurrence and potential impact on the project. Likelihood can be categorized as low, medium, or high based on historical data, expert judgment, or statistical analysis. Impact can be evaluated in terms of cost, schedule delays, safety hazards, environmental impacts, or reputational damage.
- 3. **Prioritize risks:** After assessing the likelihood and impact of each risk, it is important to prioritize them based on their significance to the project. Risks with high likelihood and high impact should be given higher priority for mitigation or management efforts.
- 4. **Develop risk mitigation strategies**: For each prioritized risk, appropriate strategies should be developed to mitigate or manage them effectively. These strategies may include implementing safety measures, revising project plans or designs, obtaining additional insurance coverage, conducting regular inspections and audits, or establishing contingency plans.
- 5. **Implement risk control measures**: Once the risk mitigation strategies are developed, they need to be implemented throughout the construction project. This involves communicating the strategies to all relevant stakeholders and ensuring their compliance with the established measures.

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6. **Monitor and review:** Construction risk assessment is an ongoing process that requires continuous monitoring and review throughout the project lifecycle. Regular inspections, audits, and progress reviews should be conducted to identify any new risks or changes in the existing risks. This allows for timely adjustments to the risk mitigation strategies if necessary.

The outcomes of a construction risk assessment can vary depending on the specific project and its associated risks.

#### 3.6.2 Some common outcomes include:

- 1. **Risk identification**: The assessment helps identify all potential risks that may arise during the construction project. This ensures that no significant risks are overlooked, allowing for proactive measures to be taken.
- 2. **Risk mitigation**: By assessing the likelihood and impact of each risk, appropriate strategies can be developed to mitigate or manage them effectively. This reduces the likelihood of accidents, delays, cost overruns, or other negative consequences.

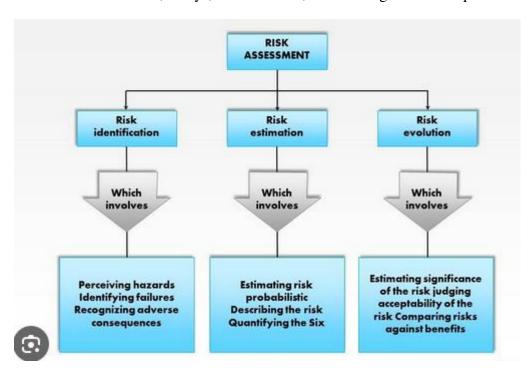


Figure 3.4 risk assessment meteorology

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- 3. **Improved project planning:** Construction risk assessment provides valuable insights into potential risks and hazards early in the project lifecycle. This allows for better project planning and design, ensuring that adequate resources, time, and budget are allocated to address these risks.
- 4. **Enhanced safety:** One of the primary objectives of construction risk assessment is to ensure the safety of workers, contractors, and the general public. By identifying and mitigating safety hazards, the assessment contributes to a safer working environment.
- 5. **Cost savings:** Effective risk management can help minimize unexpected costs associated with accidents, delays, rework, or legal disputes. By addressing potential risks proactively, construction projects can be completed within budget or even under budget.
- 6. **Stakeholder confidence**: A thorough construction risk assessment demonstrates a commitment to safety, quality, and compliance with regulatory requirements. This enhances stakeholder confidence in the project and fosters positive relationships with clients, contractors, regulatory authorities, and the public.

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#### Self – check

# **Multiple Choice**

- 1. Which of the following factors contribute to risk across different domains?
  - a) Human factors
  - b) Environmental factors
  - c) Technological factors
  - d) Economic factors
- 2. Which of the following is NOT a risk control measure for falls from heights in construction?
  - a) Guardrails and barriers
  - b) Safety nets
  - c) Personal Fall Arrest Systems (PFAS)
  - d) Lockout/Tag out procedures
- 3. Which of the following factors can influence risk through policy decisions and governance structures?
  - a) Human factors
  - b) Environmental factors
  - c) Technological factors
  - d) Political factors
  - e) Health factors
- 4. What is one risk control measure for struck-by hazards on construction sites?
  - a) Proper wiring and grounding
  - b) Safety nets
  - c) Personal Fall Arrest Systems (PFAS)
  - d) High-visibility clothing

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- 5. ISO 31000:2018 is a standard related to:
  - a) Risk management in construction
  - b) Quality management systems
  - c) Environmental management
  - d) Occupational health and safety
  - e) Information security

#### **True or False**

- 1. Human error can occur due to fatigue, distraction, stress, or lack of concentration.
- 2. Environmental factors include natural disasters, climate change-related events, and geographical location.
- 3. Technological failures can lead to accidents, financial losses, or compromised safety.
- 4. Economic factors have no impact on financial risks in business operations.
- 5. Regulatory frameworks and legal systems play a crucial role in managing and mitigating risks.

## **Blank Space**

- 1. List three human factors that contribute to risk in various domains.
- 2. Name two risk controls for electrical hazards on construction sites.
- 3. Provide two examples of social and cultural factors that shape risk perceptions and behaviors.
- 4. Identify one risk control measure for trenching and excavation hazards.
- 5. Name one relevant standard for evaluating construction risk controls.

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# UNIT FOUR: CONTROL RISK ASSOCIATED WITH HAZARDS

This unit is developed to provide you the necessary information regarding the following content coverage and topics:

- Develop and take account hierarchy
- Factors impacting on effectiveness
- Advice OHS personnel
- Input authority and relevant resources
- Documentation of gathering information
- Reduction risks

This unit will also assist you to attain the learning outcomes stated in the cover page. Specifically, upon completion of this learning guide, you will be able to:

- Develop and take account hierarchy of control
- Identify Factors impacting on effectiveness of controls
- Seek advice OHS personnel
- Identify ,seek input authority and relevant resources
- Documentation of gathering information
- Analyzing reduction risks



# 4.1Develop and take account hierarchy

Develop a range of control options in consultation with stakeholders, taking account of the outcomes of the risk assessment and the hierarchy of control.

The purpose of developing control options is to identify and implement measures that can effectively mitigate or manage risks identified during the risk assessment process. The development of control options should be done in consultation with stakeholders to ensure that the measures are practical, feasible, and acceptable to all parties involved.

When developing control options, it is important to consider the hierarchy of control, which ranks control measures based on their effectiveness and feasibility.

## 4.1.1 Hierarchy of control includes:

- 1. Elimination: Remove the hazard or risk source altogether.
- 2. Substitution: Replace the hazardous substance or activity with a safer one.
- 3. Engineering controls: Implement physical changes to the workplace or equipment to reduce exposure to the hazard.
- 4. Administrative controls: Develop policies, procedures, and training programs to control the risk.
- 5. Personal protective equipment (PPE): Provide employees with appropriate PPE to protect them from the hazard.

## **4.1.2** Effective control options, the following steps should be taken:

- 1. Identify the hazards and risks: Conduct a thorough risk assessment to identify the hazards and risks associated with the workplace or activity.
- 2. Prioritize the risks: Based on the severity and likelihood of the risks, prioritize the development of control options.
- 3. Consult with stakeholders: Involve all relevant stakeholders in the development of control options, including employees, management, and regulatory authorities.
- 4. Consider the hierarchy of control: Evaluate the effectiveness and feasibility of each control option using the hierarchy of control as a guide.

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- 5. Select and implement control options: Choose the most effective and feasible control options and implement them in the workplace or activity.
- 6. Monitor and review: Regularly monitor and review the effectiveness of the control options and make any necessary adjustments.

## **4.1.3** Some examples of control options include:

- 1. Elimination: Removing hazardous substances or activities from the workplace 2. Substitution: Replacing hazardous substances or activities with safer alternatives.
- 3. Engineering controls: Installing ventilation systems to remove dust and fumes, or implementing machine guarding to prevent accidents.
- 4. Administrative controls: Developing policies and procedures to control access to hazardous areas, or providing training to employees on safe work practices.
- 5. Personal protective equipment (PPE): Providing employees with appropriate PPE, such as gloves, safety glasses, or respirators, to protect them from hazards.

It is important to note that the most effective control options will depend on the specific hazards and risks present in the workplace or activity. Therefore, it is essential to conduct a thorough risk assessment and involve all relevant stakeholders in the development of control options.

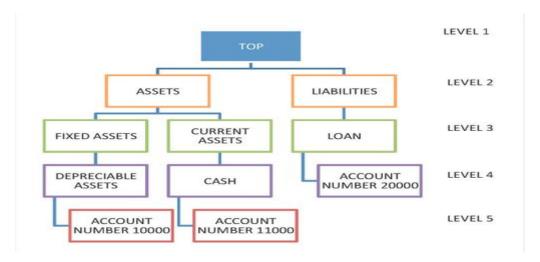


Figure 4.1 Develop and take account hierarchy

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# 4.2Factors impacting on effectiveness

The effectiveness of controls of construction risk can be influenced by various factors. These factors can be categorized into internal and external factors, which include but are not limited to the following:

## **4.2.1** Types of Factors on effectiveness

#### 1. Internal Factors:

- A) Organizational Culture: The culture within an organization plays a significant role in determining the effectiveness of risk controls. If there is a lack of awareness or commitment to risk management at all levels of the organization, it can undermine the implementation and enforcement of controls.
- B) Leadership and Management Support: The support and commitment of top management and project leaders are crucial for effective risk control. If leaders do not prioritize risk management or fail to allocate sufficient resources, it can hinder the implementation of controls.
- C) Competency and Training: The knowledge, skills, and experience of individuals responsible for implementing risk controls are essential. Insufficient training or lack of expertise can lead to ineffective controls or misjudgment of risks.
- D) Communication and Information Sharing: Effective communication channels and information sharing mechanisms are vital for successful risk control. If there are gaps in communication or inadequate sharing of relevant information, it can impede the identification and mitigation of risks.
- E) Monitoring and Review Mechanisms: Regular monitoring and review of risk controls are necessary to ensure their ongoing effectiveness. If there is a lack of monitoring or failure to address emerging risks promptly, it can render controls ineffective.
- F) Resource Allocation: Adequate allocation of resources, including financial, human, and technological resources, is essential for implementing effective risk controls. Insufficient resources can limit the ability to identify, assess, and mitigate risks adequately.

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#### 2. External Factors:

- A) Regulatory Environment: Compliance with regulatory requirements is critical for effective risk control in construction projects. Changes in regulations or non-compliance can introduce new risks or weaken existing controls.
- B) Economic Conditions: Economic factors such as inflation, interest rates, and market conditions can impact the effectiveness of risk controls. Economic downturns can lead to budget constraints, reduced investment in risk management, or increased pressure to cut corners, affecting control implementation.
- C) External Stakeholders: The involvement and cooperation of external stakeholders, such as clients, contractors, suppliers, and regulatory bodies, can influence the effectiveness of risk controls. Lack of collaboration or conflicting interests can hinder control implementation.
- D) Technological Advancements: The construction industry is continually evolving with the introduction of new technologies. While technological advancements can enhance risk control measures, they can also introduce new risks or require additional expertise for effective implementation.
- E) Environmental Factors: Environmental conditions, such as weather patterns or geological characteristics, can impact the effectiveness of risk controls. Natural disasters or unforeseen environmental events can disrupt construction activities and render controls ineffective.
- F) Market Competition: In highly competitive markets, there may be pressure to complete projects quickly and at lower costs. This can compromise the implementation of robust risk controls and increase the likelihood of errors or accidents.

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# 4.3Advice OHS personnel

When seeking advice from OHS (Occupational Health and Safety) specialists and key personnel, it is important to approach the right individuals who have expertise in this field. OHS specialists are professionals who are knowledgeable about workplace health and safety regulations, policies, and practices. They can provide valuable guidance on how to create a safe and healthy work environment, prevent accidents and injuries, and comply with relevant laws and regulations.

To find OHS specialists, you can start by reaching out to professional organizations or associations that focus on occupational health and safety. These organizations often have directories or databases of certified professionals who specialize in different aspects of OHS. Some well-known international organizations include the International Labour Organization (ILO), the World Health Organization (WHO), and the National Institute for Occupational Safety and Health (NIOSH).

Additionally, many countries have their own national or regional OHS agencies that provide resources, guidelines, and consultation services. For example, in the United States, the Occupational Safety and Health Administration (OSHA) is responsible for enforcing workplace safety regulations and providing assistance to employers and workers. Similarly, other countries have their own regulatory bodies that oversee occupational health and safety.

When seeking advice from OHS specialists, it is important to clearly communicate your specific needs or concerns. This will help them provide tailored advice that is relevant to your industry, workplace conditions, and legal requirements. OHS specialists can assist with various aspects of workplace safety, including risk assessments, hazard identification, safety training programs, emergency preparedness plans, ergonomic evaluations, and compliance with local regulations.

In addition to OHS specialists, it is also crucial to involve key personnel within your organization when addressing occupational health and safety issues. Key personnel may include managers, supervisors, human resources professionals, safety officers, and

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employee representatives. These individuals play a vital role in implementing safety measures, enforcing policies, conducting inspections, investigating incidents or accidents, and promoting a culture of safety within the organization.

When consulting with OHS specialists and key personnel, it is important to document the advice received and any actions taken based on that advice. This documentation can serve as evidence of your commitment to workplace safety and may be required in case of audits or inspections by regulatory authorities.

Overall, seeking advice from OHS specialists and involving key personnel is essential for maintaining a safe and healthy work environment. By collaborating with experts in the field and engaging relevant stakeholders within your organization, you can ensure compliance with regulations, minimize risks, and protect the well-being of your employees.

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# 4.4Input authority and relevant resources

5 To identify and seek appropriate construction authority and relevant resources to initiate and maintain controls, there are several steps you can take. These steps involve researching and consulting various authoritative sources in the construction industry.

## 4.4.1 Comprehensive guide

## 1. Identify the Construction Authority:

The first step is to identify the appropriate construction authority for your specific project or location. This authority could be a government agency, regulatory body, or professional organization responsible for overseeing construction activities. The specific authority will depend on the country, state, or region where the construction project is taking place.

To find the relevant construction authority, you can start by conducting an online search using keywords such as "construction authority" or "building regulatory body" followed by the name of your country or region. This should provide you with a list of potential authorities to consider.

Once you have identified the potential authorities, it is important to verify their credibility and legitimacy. Check if they are recognized by the government or have official accreditation. Look for information on their website regarding their mission, responsibilities, and any certifications they offer.

# 2. Consult Building Codes and Standards:

Building codes and standards play a crucial role in ensuring safety, quality, and compliance in construction projects. These codes and standards are typically developed and maintained by recognized authorities or organizations in the construction industry.

To access building codes and standards, you can start by visiting the website of the identified construction authority. Many authorities provide free access to their building

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codes and standards online. Look for sections related to regulations, guidelines, or technical documents.

In addition to the construction authority's website, there are also independent organizations that develop and publish building codes and standards. Some well-known organizations include the International Code Council (ICC), National Fire Protection Association (NFPA), American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE), and ASTM International.

## 3. Engage with Professional Associations:

Professional associations in the construction industry can be valuable resources for accessing authoritative information and networking with experts. These associations often provide guidance, training, and resources related to construction controls and best practices.

To find relevant professional associations, you can search online using keywords such as "construction professional association" or "building industry association" followed by your country or region. Explore the websites of these associations to learn about their focus areas, membership benefits, and available resources.

Some prominent professional associations in the construction industry include the Associated General Contractors of America (AGC), American Institute of Architects (AIA), Construction Management Association of America (CMAA), and National Association of Home Builders (NAHB).

#### 4. Utilize Research Databases and Publications:

Research databases and publications can provide in-depth information on construction controls, regulations, and best practices. These resources often include academic journals, technical reports, case studies, and industry-specific publications.

One widely used research database is the Construction Information Service (CIS), which provides access to a vast collection of construction-related documents, standards, and regulations. Other databases like Pro Quest, Science Direct, and IEEE Explore also contain relevant research articles.

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# 4.5 Documentation of gathering information

Actions Required to Achieve Change

Achieving change requires a systematic approach that involves planning, implementation, and evaluation. The following are the key actions that need to be taken to achieve change effectively:

## 4.5.1 Action taken to achieve change effectively

- 1. **Identify the Need for Change:** The first step in achieving change is to identify the need for it. This can be done by conducting a thorough analysis of the current situation, identifying any gaps or areas for improvement, and understanding the reasons behind the need for change. It is important to clearly define the objectives and goals of the desired change.
- 2. Create a Vision and Strategy: Once the need for change has been identified, it is crucial to create a clear vision of what the desired future state should look like. This vision should be communicated effectively to all stakeholders involved in the change process. Additionally, a well-defined strategy should be developed to outline how the change will be achieved, including specific actions, timelines, and resources required.
- 3. **Build a Coalition of Support:** Change can often face resistance from individuals or groups who may be affected by it. To overcome this resistance, it is essential to build a coalition of support among key stakeholders. This can be done by involving them in the decision-making process, addressing their concerns and fears, and highlighting the benefits of the proposed change.
- 4. **Communicate Effectively:** Communication plays a vital role in achieving change successfully. It is important to communicate the need for change, the vision and strategy, and progress updates regularly and transparently to all stakeholders. This helps in creating awareness, building trust, and gaining buy-in from those involved.
- 5. **Empower and Train Employees:** Change often requires new skills and behaviors from employees. To ensure successful implementation, it is crucial to empower employees by providing them with the necessary training and resources needed to adapt

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to the change. This can include workshops, seminars, mentoring programs, or any other form of learning and development initiatives.

- 6. Implement Change in Phases: Implementing change all at once can be overwhelming and disruptive. It is advisable to break down the change process into smaller, manageable phases. This allows for better planning, testing, and evaluation of each phase, ensuring a smoother transition.
- 7. **Monitor and Evaluate Progress:** Regular monitoring and evaluation of the change process are essential to ensure that it is on track and achieving the desired outcomes. Key performance indicators (KPIs) should be established to measure progress against the defined objectives. Any deviations or issues should be addressed promptly to keep the change process on course.
- 8. Celebrate Success and Sustain Change: Recognizing and celebrating milestones and achievements along the way helps to maintain motivation and momentum for change. It is important to sustain the change by embedding it into the organization's culture, policies, and processes. This can be done by revisiting and reinforcing the vision, providing ongoing support, and continuously improving upon the implemented changes.

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#### 4.6 Reduction Risks

Analyze extent of change and reduction in risk, as a result of controls

The implementation of controls plays a crucial role in managing and reducing risks within various domains, such as cyber security, finance, healthcare, and safety. Controls are measures put in place to mitigate risks and ensure the security and integrity of systems, processes, and assets. They can be technical, administrative, or physical in nature, and their effectiveness is assessed based on the extent of change and reduction in risk they bring about.

The extent of change refers to the degree to which controls modify the existing risk landscape. Controls aim to alter the likelihood or impact of identified risks by introducing preventive, detective, or corrective measures. The effectiveness of controls can be evaluated by analyzing their impact on risk factors such as vulnerability, threat likelihood, and potential consequences.

Controls can significantly reduce risk by addressing vulnerabilities and minimizing the likelihood of threats exploiting them. For example, in the context of cybersecurity, implementing strong access controls, firewalls, intrusion detection systems, and encryption mechanisms can greatly reduce the risk of unauthorized access, data breaches, and cyber-attacks. Similarly, in financial institutions, controls such as segregation of duties, regular audits, and fraud detection systems can help mitigate the risk of financial fraud.

Controls also contribute to risk reduction by enabling timely detection and response to incidents. For instance, implementing monitoring controls like security cameras or intrusion detection systems can help identify potential security breaches or unauthorized activities. By detecting incidents early on, organizations can take prompt action to minimize the impact and prevent further damage.

Furthermore, controls play a vital role in ensuring compliance with regulatory requirements and industry standards. Compliance controls are designed to align organizations' practices with legal obligations and best practices. By adhering to these

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controls, organizations can reduce legal and reputational risks associated with non-compliance.

It is important to note that while controls can significantly reduce risk, they cannot eliminate it entirely. Risks can never be completely eradicated but rather managed to an acceptable level. The effectiveness of controls depends on various factors, including their design, implementation, monitoring, and continuous improvement.

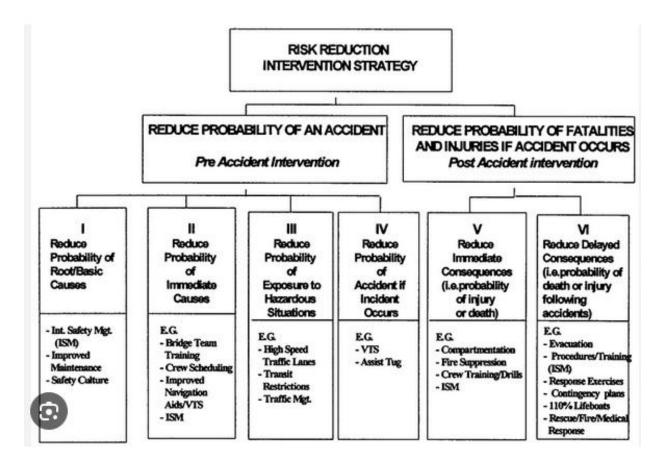


Figure 4.2 reduction risk intervention stage

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#### Self – check

## **Multiple Choice**

- 1. Which of the following is NOT part of the hierarchy of control measures?
  - a) Elimination
  - b) Substitution
  - c) Engineering controls
  - d) Personal protective equipment (PPE)
  - e) Administrative controls
- 2. What is the first step in developing effective control options?
  - a) Consult with stakeholders
  - b) Identify the hazards and risks
  - c) Prioritize the risks
  - d) Consider the hierarchy of control
  - e) Select and implement control options
- 3. Which factor can impact the effectiveness of risk controls in construction projects?
  - a) Economic conditions
  - b) Organizational culture
  - c) Technological advancements
  - d) External stakeholders
- 4. Where can you find certified OHS specialists?
  - a) International Labour Organization (ILO)
  - b) World Health Organization (WHO)
  - c) National Institute for Occupational Safety and Health (NIOSH)
  - d) Occupational Safety and Health Administration (OSHA)

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- 5. What should you do when seeking advice from OHS specialists?
  - a) Clearly communicate your specific needs or concerns
  - b) Reach out to professional organizations or associations
  - c) Involve key personnel within your organization
  - d) Document the advice received and any actions taken
  - e) All of the above

## **True or False**

- 1. The hierarchy of control ranks control measures based on their cost-effectiveness.
- 2. External factors that can impact the effectiveness of risk controls include economic conditions and market competition.
- 3. OHS specialists are professionals who are knowledgeable about workplace health and safety regulations.
- 4. Building codes and standards are typically developed and maintained by professional associations in the construction industry
- 5. Engaging with professional associations in the construction industry can provide guidance and resources related to construction controls.

## **Define and list**

- 1. List three examples of control options in the hierarchy of control measures.
- 2. Name two internal factors that can influence the effectiveness of risk controls.
- 3. How can OHS specialists assist with workplace safety?
- 4. Give an example of an external factor that can impact the effectiveness of risk controls.
- 5. Why is it important to involve key personnel in addressing occupational health and safety issues?

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# UNIT FIVE: MAINTAIN HAZARD IDENTIFICATION AND RISK CONTROL

This unit is developed to provide you the necessary information regarding the following content coverage and topics:

- Risk register relevant to the workplace
- plans to implement
- Communicating risk management key
- stakeholders and operational staffs
- OHS situations

This unit will also assist you to attain the learning outcomes stated in the cover page. Specifically, upon completion of this learning guide, you will be able to:

- Establishing risk register relevant to the workplace
- Document and communicate risk management procedures
- communicating risk management key
- Involve stakeholders and operational staffs

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# 5.1Risk register relevant to the workplace

A risk register is a crucial tool for managing risks in the construction workplace. It is a document that identifies, assesses, and tracks potential risks and their corresponding mitigation strategies. By establishing and maintaining a risk register, construction companies can proactively identify and address potential hazards, ensuring the safety of workers and minimizing the likelihood of accidents or incidents.

# 5.1.1 To create a comprehensive risk register, several steps

- 1. Identify potential risks: The first step is to identify all possible risks that may arise in the construction workplace. This can be done by conducting a thorough assessment of the site, considering factors such as the nature of the project, location, weather conditions, equipment used, and the presence of hazardous substances. It is important to involve all relevant stakeholders, including project managers, supervisors, health and safety officers, and workers themselves, to ensure a comprehensive identification of risks.
- 2. Assess the severity and likelihood of each risk: Once potential risks have been identified, they need to be assessed in terms of their severity and likelihood. Severity refers to the potential impact or harm that could result from a particular risk, while likelihood refers to the probability of that risk occurring. This assessment can be done using qualitative or quantitative methods. Qualitative methods involve assigning subjective ratings (e.g., low, medium, high) based on expert judgment or historical data. Quantitative methods involve using statistical analysis or mathematical models to estimate probabilities and consequences more precisely.
- 3. Determine risk priorities: After assessing each risk, it is important to prioritize them based on their severity and likelihood. This helps in allocating resources effectively and addressing high-priority risks first. One common approach is to use a risk matrix that categorizes risks into different levels of priority based on their assessed severity and likelihood scores.
- 4. Develop mitigation strategies: Once risks have been prioritized, appropriate mitigation strategies need to be developed for each identified risk. Mitigation strategies aim to reduce the likelihood or impact of a risk. They can include engineering controls,

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administrative controls, and personal protective equipment (PPE). It is important to involve relevant experts and stakeholders in the development of these strategies to ensure their effectiveness and feasibility.

- 5. Assign responsibilities and timelines: For each identified risk and its corresponding mitigation strategy, clear responsibilities need to be assigned to individuals or teams. This ensures accountability and facilitates effective implementation. Additionally, timelines should be established to track the progress of each mitigation strategy and ensure that they are implemented within the desired timeframe.
- 6. Regularly review and update the risk register: A risk register should not be a static document but rather a dynamic tool that is regularly reviewed and updated. As construction projects progress, new risks may emerge, or existing risks may change in severity or likelihood. Therefore, it is important to conduct regular reviews of the risk register to ensure its accuracy and relevance. This can be done through periodic site inspections, safety audits, or by encouraging workers to report any new hazards or concerns.

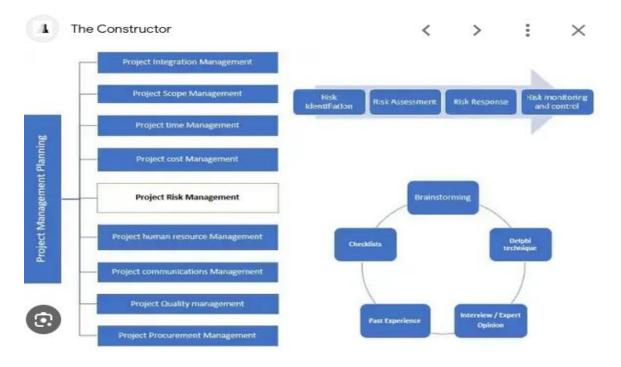


Figure 5.1 risk registration in construction work place and management planning

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# 5.2Plans to implement

Consultation and negotiation skills are essential for developing plans, implementing actions, and monitoring progress in various domains. These skills play a crucial role in ensuring effective communication, collaboration, and decision-making among individuals or groups involved in a particular project or initiative.

Consultation involves seeking input, advice, and feedback from relevant stakeholders to gather diverse perspectives and insights. It is a process of engaging with individuals or groups who have a vested interest or expertise in the subject matter. Through consultation, different viewpoints can be considered, leading to more informed decision-making and the development of comprehensive plans.

Negotiation skills are necessary for reaching agreements and resolving conflicts between parties with differing interests or objectives. Negotiation involves finding common ground, understanding the needs and concerns of all parties involved, and working towards mutually beneficial outcomes. Effective negotiation skills enable individuals to navigate complex situations, manage conflicts, and build strong relationships based on trust and cooperation.

When it comes to developing plans, consultation and negotiation skills are instrumental in gathering information, identifying goals and objectives, and formulating strategies. By involving relevant stakeholders in the planning process, organizations can ensure that their plans align with the needs and expectations of those affected by them. Consultation also helps in identifying potential challenges or obstacles that may arise during implementation.

Implementation of designated actions requires effective coordination, communication, and collaboration among team members or stakeholders. Consultation and negotiation skills facilitate this process by fostering open dialogue, clarifying roles and responsibilities, and addressing any concerns or issues that may arise. Through effective consultation and negotiation, individuals can gain support for their proposed actions, secure necessary resources, and overcome potential barriers.

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Monitoring designated actions is crucial to assess progress, identify areas for improvement, and make necessary adjustments. Consultation plays a vital role in this phase by engaging relevant stakeholders in ongoing discussions about the effectiveness of the implemented actions. By seeking feedback from those directly involved or impacted by the actions, organizations can gather valuable insights to inform future decision-making and ensure continuous improvement.

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# 5.3Communicating risk management key

Risk management procedures are crucial in the construction industry to identify, assess, and mitigate potential risks that may arise during a project. These procedures should be documented and effectively communicated to all construction stakeholders and key personnel involved in the project. By doing so, everyone is aware of the potential risks and understands the steps to be taken to manage them appropriately.

# 5.3.1 Steps can be followed to document and communicate risk management procedures in construction:

- 1. **Identify and assess risks:** The first step is to identify potential risks that may occur during the construction project. This can be done by conducting a thorough risk assessment, which involves analyzing various aspects such as site conditions, design complexity, weather conditions, regulatory requirements, and project timeline. Once the risks are identified, they should be assessed based on their likelihood of occurrence and potential impact on the project.
- 2. **Develop risk management strategies:** After identifying and assessing the risks, it is important to develop appropriate risk management strategies. This involves determining how each risk will be managed or mitigated. Strategies may include risk avoidance (eliminating the risk altogether), risk reduction (implementing measures to minimize the likelihood or impact of the risk), risk transfer (shifting the responsibility for managing the risk to another party through contracts or insurance), or risk acceptance (acknowledging the risk but not taking any specific action).
- 3. **Document risk management procedures:** Once the risk management strategies are determined, they should be documented in a clear and concise manner. The documentation should include details about each identified risk, its assessment, and the corresponding risk management strategy. It should also outline the responsibilities of each stakeholder or key personnel in implementing these strategies. The documentation can take various forms such as a risk management plan, standard operating procedures, or a dedicated section within the project's contract documents.

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- 4. Communicate with stakeholders: Effective communication is essential in ensuring that all stakeholders are aware of the identified risks and understand their roles in managing them. The risk management procedures should be communicated to all relevant parties, including the project owner, contractors, subcontractors, suppliers, and any other personnel involved in the project. This can be done through meetings, presentations, workshops, or written communication such as emails or memos. It is important to ensure that the information is presented in a clear and easily understandable
- 5. **Training and education**: In addition to communicating the risk management procedures, it is also important to provide training and education to the construction stakeholders and key personnel. This will help them understand the importance of risk management and equip them with the necessary knowledge and skills to effectively implement the procedures. Training sessions can be conducted on topics such as risk identification, risk assessment techniques, risk mitigation strategies, and reporting procedures. Regular refresher courses or updates should also be provided to keep everyone informed about any changes or new risks that may arise during the project.
- 6. **Review and update:** Risk management procedures should not be static documents but should be regularly reviewed and updated throughout the construction project. As new risks emerge or existing risks change in their likelihood or impact, the procedures should be revised accordingly. Regular reviews can help identify any gaps or deficiencies in the current procedures and allow for necessary adjustments to be made.

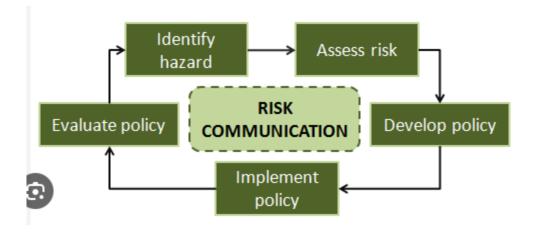


Figure 5.2 the role of risk in the risk management cycles

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# 5.4Stakeholders and operational staffs

Construction risk management is a crucial process that aims to identify, assess, and mitigate potential risks associated with construction projects. It involves various steps and activities to ensure that risks are effectively managed and minimized to protect the project's objectives, stakeholders, and key personnel. One important aspect of construction risk management is the documentation and communication of outcomes to stakeholders and key personnel.

Documenting the outcomes of construction risk management processes is essential for several reasons. Firstly, it provides a record of the risks identified, assessed, and mitigated throughout the project lifecycle. This documentation serves as a historical reference for future projects, allowing organizations to learn from past experiences and improve their risk management strategies. It also helps in evaluating the effectiveness of risk mitigation measures implemented during the project.

Secondly, documenting outcomes enables transparency and accountability. By maintaining a comprehensive record of risk management activities, organizations can demonstrate their commitment to managing risks effectively. This transparency builds trust among stakeholders, including clients, investors, regulatory bodies, and insurance providers.

Thirdly, documentation facilitates effective communication with stakeholders and key personnel. It allows project managers to share information about the identified risks, their potential impacts, and the measures taken to mitigate them. This communication ensures that all relevant parties are aware of the risks involved in the project and understand the steps being taken to manage them.

Communicating the outcomes of construction risk management processes is equally important as documenting them. Effective communication ensures that stakeholders and key personnel are well-informed about the project's risk profile and understand their roles in managing those risks.

One way to communicate outcomes is through regular progress reports or updates. These reports should include information on the identified risks, their likelihood and potential

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impact on the project's objectives, as well as the actions taken to mitigate them. The reports should be clear, concise, and tailored to the intended audience's level of understanding.

Another method of communication is through meetings or workshops with stakeholders and key personnel. These sessions provide an opportunity to discuss the project's risk management strategy, address any concerns or questions, and gather input from participants. It is important to encourage open and honest communication during these sessions to foster collaboration and ensure that everyone is aligned in their understanding of the project's risks and risk mitigation measures.

In addition to regular reports and meetings, organizations can also utilize various communication channels such as emails, newsletters, intranet portals, or project management software to disseminate information about construction risk management outcomes. These channels allow for timely and targeted communication, ensuring that stakeholders and key personnel receive the necessary information when they need it.

When communicating the outcomes of construction risk management processes, it is crucial to consider the audience's level of knowledge and expertise. Technical jargon should be avoided or explained in simple terms to ensure that all stakeholders can understand the information being conveyed. Visual aids such as charts, graphs, or diagrams can also be used to enhance understanding and facilitate effective communication.

Overall, documenting and communicating the outcomes of construction risk management processes is essential for transparency, accountability, and effective stakeholder engagement. By maintaining comprehensive records and employing various communication methods, organizations can ensure that all relevant parties are well-informed about the project's risks and risk mitigation measures.

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## **5.50HS** situations

OHS specialists, also known as Occupational Health and Safety specialists, play a crucial role in ensuring the safety and well-being of workers in construction sites. They are responsible for identifying and addressing potential hazards, implementing safety protocols, and promoting a culture of safety among workers. In construction, there are several situations where OHS specialists are particularly important.

# 5.5.1 Important particularly OHS specialists

- 1. **Hazardous Material Handling:** Construction sites often involve the use of hazardous materials such as asbestos, lead-based paint, or chemicals. OHS specialists are needed to assess the risks associated with these materials and develop appropriate handling procedures. They ensure that workers are trained on proper handling techniques, provide guidance on the use of personal protective equipment (PPE), and monitor compliance with safety regulations.
- 2. Working at Heights: Construction projects frequently require workers to work at heights, such as on scaffolding, ladders, or rooftops. Falls from heights are one of the leading causes of injuries and fatalities in the construction industry. OHS specialists play a vital role in assessing fall hazards, developing fall protection plans, and ensuring that workers are equipped with the necessary fall protection equipment. They also conduct regular inspections to identify any potential issues with scaffolding or other elevated work platforms.
- 3. **Heavy Machinery Operation:** Construction sites often involve the use of heavy machinery such as cranes, excavators, or bulldozers. Operating these machines requires specialized training and poses significant risks if not done properly. OHS specialists are responsible for ensuring that operators are trained and certified to operate the machinery safely. They also conduct regular inspections to ensure that equipment is well-maintained and in good working condition.
- 4. **Trenching and Excavation:** Trenching and excavation work can be extremely hazardous if proper precautions are not taken. Cave-ins, falls, or exposure to hazardous gases are some of the risks associated with this type of work. OHS specialists assess the

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soil conditions, design appropriate protective systems, and ensure that workers are trained on safe excavation practices. They also monitor the work site to ensure compliance with safety regulations.

- 5. **Electrical Safety:** Construction sites often involve electrical installations and wiring, which can pose significant risks if not handled properly. OHS specialists work closely with electricians and other workers to ensure that electrical systems are installed and maintained according to safety standards. They conduct regular inspections to identify any potential electrical hazards and provide guidance on safe work practices.
- 6. **Personal Protective Equipment (PPE):** OHS specialists are responsible for assessing the need for personal protective equipment (PPE) in construction situations. They identify the specific hazards present on the site and determine the appropriate PPE required to mitigate those risks. This may include items such as hard hats, safety glasses, gloves, or respiratory protection. OHS specialists also ensure that workers are trained on the proper use and maintenance of PPE

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#### Self- check

## **Multiple Choice**

- 1. What is a risk register?
  - a) A tool for managing risks in the workplace
  - b) A document that tracks project progress
- c) A construction equipment used for risk assessment
  - d) A legal requirement for construction companies
- 2. How are risks assessed in a risk register?
  - a) Through quantitative methods only
  - b) Through qualitative methods only
  - c) Through both qualitative and quantitative methods
  - d) Through historical data analysis
- 3. What is the purpose of prioritizing risks in a risk register?
  - a) To assign responsibilities to individuals or teams
  - b) To allocate resources effectively
  - c) To determine the severity of risks
  - d) To review and update the risk register regularly
- 4. What are mitigation strategies in a risk register?
  - a) Strategies to increase the likelihood of risks
  - b) Strategies to eliminate risks completely
  - c) Strategies to reduce the impact of risks
  - d) Strategies to transfer risks to other parties

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- 5. Why is it important to regularly review and update the risk register?
  - a) To involve all relevant stakeholders in the process
  - b) To identify potential risks that may emerge during the project
  - c) To ensure the accuracy and relevance of the risk register
  - d) To establish clear responsibilities and timelines

#### True or False

- 1. Risk assessment in a risk register involves assessing severity and likelihood.
- 2. Consultation and negotiation skills are important for effective communication and decision-making.
- 3. Communication of risk management procedures in construction is only necessary for key personnel.
- 4. Regularly reviewing and updating the risk register is not necessary once risks are identified
- 5. Effective communication ensures that stakeholders understand their roles in managing risks.

### **Blank Space**

- 1. List two steps involved in creating a comprehensive risk register.
- 2. What are two types of risk management strategies?
- 3. Name two stakeholders who should be involved in the communication of risk management procedures.
- 4. Why is transparency important in documenting risk management outcomes?
- 5. How can progress reports or updates be used to communicate risk management outcomes effectively?

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# UNIT SIX: MONITOR AND REVIEW RISK MANAGEMENT

This unit is developed to provide you the necessary information regarding the following content coverage and topics:

- Frequency review method
- Stakeholder and keys personnel input
- language and literacy skills
- Information technology skills
- Recommendations
- Action plan
- Regularly effectiveness of risks management
- Risk response methods

This unit will also assist you to attain the learning outcomes stated in the cover page. Specifically, upon completion of this learning guide, you will be able to:

- Determining frequency review method
- Ensuring stakeholder and keys personnel input
- Identifying areas and making recommendations.
- Language and literacy skills
- Preparing action plan
- Information technology skills

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# 5.1Frequency review method

In consultation with construction workplace construction stakeholders and key personnel, the frequency, method, and scope of review can be determined to ensure effective and efficient construction practices. Reviewing construction processes and procedures is crucial for identifying potential risks, improving safety measures, and enhancing overall project performance. This comprehensive review process involves various aspects such as frequency of reviews, methods used for conducting reviews, and the scope of the review.

### **Frequency of Reviews:**

The frequency of reviews in a construction workplace can vary depending on several factors including the size and complexity of the project, the level of risk involved, and regulatory requirements. It is important to establish a regular review schedule to ensure that all aspects of the construction process are thoroughly assessed. Typically, reviews should be conducted at different stages of the project including pre-construction, during construction, and post-construction phases.

Pre-construction reviews are essential for evaluating design plans, identifying potential hazards, and ensuring compliance with building codes and regulations. These reviews can be conducted by a team consisting of architects, engineers, contractors, and other relevant stakeholders. During construction, periodic reviews should be carried out to monitor progress, assess adherence to safety protocols, and address any emerging issues promptly. Post-construction reviews are conducted after the completion of the project to evaluate its overall success and identify areas for improvement in future projects.

#### Methods of Review:

- 5.1.1 Several methods that can be employed to conduct reviews in a construction workplace.
- 1. **On-site Inspections:** On-site inspections involve physically visiting the construction site to assess compliance with safety regulations, quality standards, and adherence to approved plans. Inspectors can evaluate various aspects such as structural integrity,

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electrical systems, fire safety measures, personal protective equipment usage, and general housekeeping.

- 2. **Document Reviews:** Document reviews involve examining various documents related to the construction project such as design plans, permits, contracts, safety manuals, incident reports, and training records. This method allows stakeholders to assess the accuracy and completeness of documentation, identify any gaps or inconsistencies, and ensure that all necessary documentation is up to date.
- 3. Interviews and Surveys: Conducting interviews and surveys with construction workers, supervisors, and other personnel can provide valuable insights into the effectiveness of construction practices. This method allows stakeholders to gather feedback on safety procedures, identify potential hazards or areas for improvement, and address any concerns raised by the workforce.
- 4. **Data Analysis:** Analyzing data collected from various sources such as incident reports, near-miss reports, safety audits, and performance metrics can help identify trends, patterns, and areas of concern. By analyzing this data, stakeholders can make informed decisions regarding necessary improvements in construction practices.

Scope of Review:

# 5.1.2 All aspects of construction workplace practices to ensure a comprehensive assessment.

- 1. **Safety Practices:** Reviewing safety practices is crucial for identifying potential hazards and ensuring compliance with safety regulations. This includes evaluating the effectiveness of safety training programs, assessing the usage of personal protective equipment, examining emergency response plans, and identifying any deficiencies in safety protocols.
- 2. Quality Control: Reviewing quality control measures is essential for ensuring that construction projects meet the required standards. This involves assessing the materials used, inspecting workmanship, evaluating adherence to specifications and design plans, and identifying any deviations or defects that may impact the overall quality of the project.

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- 3. **Environmental Considerations:** Construction projects can have a significant impact on the environment. Therefore, it is important to review environmental considerations such as waste management practices, pollution prevention measures, energy efficiency initiatives, and compliance with environmental regulations.
- 4. **Compliance with Regulations:** Construction projects are subject to numerous regulations at local, state, and federal levels. The review process should include an assessment of compliance with building codes, occupational health and safety regulations, environmental regulations, and any other relevant laws or standards.
- 5. Communication and Collaboration: Reviewing communication and collaboration practices is crucial for ensuring effective coordination among stakeholders. This includes evaluating communication channels, assessing the effectiveness of meetings and reporting mechanisms, and identifying any barriers to collaboration that may hinder project success.

By conducting regular reviews using appropriate methods and considering a comprehensive scope, construction workplace stakeholders can identify areas for improvement, mitigate risks, enhance safety measures, and ultimately improve the overall performance of construction projects.

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# 5.2Stakeholder and keys personnel input

When conducting a review, it is crucial to ensure that stakeholders and key personnel have input. This ensures that the review process is comprehensive, inclusive, and takes into account the perspectives and expertise of those who are directly involved or affected by the review. By involving stakeholders and key personnel, organizations can gather valuable insights, address concerns, and make informed decisions based on a wider range of perspectives.

There are several reasons why involving stakeholders and key personnel in the review process is important. Firstly, these individuals often possess specialized knowledge and expertise that can contribute to the review's effectiveness. They may have a deep understanding of the organization's operations, goals, and challenges, which can provide valuable context and insights during the review process.

Secondly, involving stakeholders and key personnel helps to build trust and transparency within the organization. When individuals feel that their opinions and concerns are being heard and considered, they are more likely to be engaged in the review process and supportive of its outcomes. This can lead to increased buy-in for any changes or recommendations that arise from the review.

Thirdly, involving stakeholders and key personnel can help identify potential blind spots or unintended consequences of proposed changes. These individuals may have unique perspectives or experiences that can shed light on potential risks or challenges that might not be apparent to those conducting the review. By including diverse voices in the process, organizations can mitigate risks and make more informed decisions.

# 5.2.1 To ensure stakeholder and key personnel input in a review, organizations can employ various strategies:

1. **Identify relevant stakeholders:** Start by identifying all relevant stakeholders who should be involved in the review process. This may include executives, managers, employees, customers, suppliers, regulatory bodies, community members, or any other group that has a vested interest in the organization's activities or outcomes.

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- 2. **Communicate the purpose and scope:** Clearly communicate the purpose and scope of the review to all stakeholders. This ensures that everyone understands why their input is important and what aspects of the organization or its operations will be examined during the review.
- 3. **Establish clear channels of communication:** Set up channels for stakeholders to provide their input, such as meetings, workshops, surveys, or dedicated email addresses. Make sure these channels are easily accessible and well-publicized to encourage participation.
- 4. **Provide relevant information:** Share relevant information with stakeholders to help them understand the context and objectives of the review. This may include data, reports, policies, or any other materials that can inform their input.
- 5. **Facilitate open and inclusive discussions**: Create an environment that encourages open and inclusive discussions among stakeholders. Ensure that all voices are heard and respected, and that diverse perspectives are actively sought out and considered.
- 6. **Document and analyze** input: Document all input received from stakeholders and key personnel throughout the review process. Analyze this input to identify common themes, concerns, or recommendations that can inform the review's findings and recommendations.
- 7. **Provide feedback on outcomes:** Once the review is complete, provide feedback to stakeholders on how their input influenced the process and outcomes. This helps to demonstrate that their contributions were valued and taken into account.

By following these strategies, organizations can ensure that stakeholders and key personnel have meaningful input in the review process. This not only enhances the quality and credibility of the review but also fosters a culture of collaboration, transparency, and accountability within the organization.

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## 5.3 Recommendations

Risk management is a crucial aspect of any organization's operations, as it involves identifying, assessing, and mitigating potential risks that could impact the achievement of objectives. While many organizations have established risk management processes in place, there are always areas for improvement to enhance the effectiveness and efficiency of these processes. In this response, we will identify some common areas for improvement in risk management processes and provide recommendations to address them.

## 5.3.1 Some of common area improvement in risk management

- 1. **Risk Identification:** One area where organizations can improve their risk management processes is in the identification of risks. It is essential to have a comprehensive understanding of all potential risks that could affect the organization's objectives. However, organizations often struggle with identifying emerging risks or risks that are not immediately apparent. To address this, organizations can consider the following recommendations:
  - Conduct regular risk assessments: Organizations should regularly assess their internal and external environments to identify new or changing risks. This can be done through techniques such as brainstorming sessions, scenario analysis, or environmental scanning.
  - Foster a risk-aware culture: Encourage employees at all levels to be proactive in identifying risks and reporting them. This can be achieved through training programs, awareness campaigns, and incentives for risk reporting.
  - Engage external stakeholders: Seek input from external stakeholders such as customers, suppliers, regulators, or industry experts to gain insights into potential risks that may not be evident internally.
- 2. Risk Assessment: Once risks are identified, organizations need to assess their potential impact and likelihood to prioritize them effectively. However, there are often challenges

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in accurately assessing risks due to limited data availability or biases in the assessment process. To improve risk assessment processes, organizations can consider the following recommendations:

- Enhance data collection and analysis: Invest in systems and tools that enable efficient data collection and analysis. This may involve leveraging technology solutions such as data analytics or artificial intelligence to process large volumes of data and identify patterns or trends.
- Use multiple assessment techniques: Employ a combination of qualitative and quantitative assessment techniques to gain a more comprehensive understanding of risks. This can include methods such as risk matrices, probability impact grids, or Monte Carlo simulations.
- Involve subject matter experts: Engage subject matter experts from relevant areas of the organization to provide insights and expertise in assessing risks. This can help ensure a more accurate and holistic assessment of risks.
- 3. **Risk Mitigation**: After assessing risks, organizations need to develop and implement appropriate risk mitigation strategies. However, there are often challenges in effectively implementing these strategies or monitoring their effectiveness over time. To improve risk mitigation processes, organizations can consider the following recommendations:
  - Develop a risk response plan: Create a structured plan that outlines specific actions to be taken for each identified risk. This plan should include clear responsibilities, timelines, and success criteria for each action.
  - Establish monitoring mechanisms: Implement regular monitoring and reporting
    processes to track the progress of risk mitigation activities. This can involve
    setting up key performance indicators (KPIs), conducting periodic reviews, or
    using automated systems for real-time monitoring.
  - Foster a culture of continuous improvement: Encourage ongoing learning and adaptation by regularly reviewing and updating risk mitigation strategies based on new information or changing circumstances. This can be achieved through postimplementation reviews, lessons learned sessions, or benchmarking against industry best practices.

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# 5.4Language and literacy skills

Language and literacy skills are essential in any workgroup and task, as they play a crucial role in effective communication and understanding. The appropriate use of language and literacy skills ensures that information is conveyed accurately, comprehensively, and in a manner that is easily understood by the intended audience.

In a workgroup setting, using language and literacy skills appropriate to the task helps to establish clear communication channels among team members. It allows individuals to express their ideas, thoughts, and concerns effectively, fostering collaboration and productivity. When everyone in the workgroup uses language and literacy skills that are suitable for the task at hand, it minimizes misunderstandings, promotes efficient problemsolving, and enhances overall team performance.

The use of appropriate language and literacy skills also extends to written communication. Whether it is drafting reports, sending emails, or creating presentations, using language that is clear, concise, and tailored to the audience is crucial. This ensures that the intended message is conveyed accurately and effectively without any ambiguity or confusion. Additionally, proper grammar, punctuation, and spelling are important aspects of literacy skills that contribute to the professionalism and credibility of written communication.

In a broader context, language and literacy skills are vital for personal development and success in various aspects of life. Strong language skills enable individuals to express themselves articulately, engage in meaningful conversations, and build positive relationships with others. Literacy skills encompass not only reading and writing but also critical thinking, analysis, interpretation, and evaluation of information. These skills are essential for navigating through the vast amount of information available in today's digital age.

Moreover, language and literacy skills are closely linked to cognitive development. Research has shown that individuals with strong language skills tend to have better problem-solving abilities, higher levels of creativity, improved memory retention, and

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enhanced analytical thinking. Literacy skills enable individuals to access a wide range of knowledge sources such as books, articles, research papers, and online resources. This access to information empowers individuals to make informed decisions, expand their knowledge base, and contribute meaningfully to society.

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# 5.5Action plan

When preparing action plans for construction implementation, it is important to allocate responsibilities and establish timeframes to ensure a smooth and efficient process. This helps in organizing tasks, coordinating efforts, and ensuring that the project progresses according to schedule. Here is a comprehensive guide on how to create action plans for construction projects, including the allocation of responsibilities and timeframes.

# 5.5.1 Create action plan and methods

- 1. **Define Project Objectives**: The first step in creating an action plan is to clearly define the objectives of the construction project. This involves identifying the desired outcomes, scope of work, and any specific requirements or constraints. By having a clear understanding of the project goals, it becomes easier to allocate responsibilities and determine appropriate timeframes.
- 2. **Identify Tasks and Subtasks**: Once the project objectives are defined, break down the work into smaller tasks and subtasks. This helps in identifying all the necessary activities required for successful completion of the project. Each task should be specific, measurable, achievable, relevant, and time-bound (SMART). For example, if the project involves building a house, tasks could include site preparation, foundation construction, framing, electrical installation, plumbing, etc.
- 3. **Allocate Responsibilities**: Assigning responsibilities to team members is crucial for effective project management. Identify individuals or teams who will be responsible for each task or subtask. Consider their expertise, availability, and workload when assigning responsibilities. It is important to ensure that each team member understands their role and has the necessary resources to complete their assigned tasks.
- 4. **Set Timeframes:** Establishing realistic timeframes is essential for managing construction projects effectively. Consider factors such as task dependencies, resource availability, and potential risks when setting deadlines. It is advisable to break down larger tasks into smaller milestones with specific deadlines to track progress more effectively. Use tools like Gantt charts or project management software to visualize timelines and monitor progress.

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- 5. **Define Deliverables:** Clearly define the expected deliverables for each task or milestone. This helps in setting clear expectations and ensures that everyone is working towards the same goals. Deliverables could include design drawings, permits, completed construction phases, or any other tangible outputs.
- 6. **Establish Communication Channels**: Effective communication is vital for successful project implementation. Establish regular communication channels to keep all stakeholders informed about progress, challenges, and changes. This can include regular team meetings, progress reports, or digital collaboration tools. Encourage open and transparent communication to address any issues promptly.
- 7. **Monitor and Adjust**: Regularly monitor the progress of the project against the action plan. Track actual timelines, identify any delays or bottlenecks, and take corrective actions if necessary. Adjust the action plan as needed to accommodate unforeseen circumstances or changes in project requirements.
- 8. **Review and Learn:** After completing the construction project, conduct a thorough review to assess the effectiveness of the action plan. Identify lessons learned, areas for improvement, and best practices that can be applied to future projects. This feedback loop helps in continuously improving construction implementation processes.



Figure 6.1 project action plan and implementation methods

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# 5.6Information technology skills

Construction information technology skills refer to the knowledge and abilities required to access and enter internal and external data within the construction industry using various technological tools and platforms. These skills are essential for professionals in the construction field to effectively manage projects, collaborate with stakeholders, analyze data, and make informed decisions.

One of the fundamental skills in construction information technology is proficiency in computer systems and software applications. This includes a strong understanding of operating systems, such as Windows or macOS, and familiarity with productivity software like Microsoft Office or Google Suite. Additionally, professionals should be adept at using construction-specific software programs like computer-aided design (CAD) software, project management software, and building information modeling (BIM) tools.

Another crucial skill is data management and analysis. Construction projects generate vast amounts of data, including project schedules, cost estimates, material specifications, and progress reports. Professionals need to be proficient in organizing and managing this data using databases or cloud-based storage systems. They should also possess the ability to analyze data using tools like spreadsheets or specialized construction analytics software to identify trends, patterns, and potential areas for improvement.

Proficiency in communication and collaboration tools is also essential for accessing and entering internal and external information in the construction industry. Professionals need to be skilled in using email clients, instant messaging platforms, video conferencing tools, and collaborative project management platforms. These tools facilitate effective communication among team members, clients, subcontractors, and other stakeholders involved in a construction project.

Furthermore, knowledge of information security practices is crucial when accessing internal and external data within the construction industry. Professionals should understand how to protect sensitive information from unauthorized access or breaches by

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implementing security measures such as strong passwords, encryption techniques, firewalls, and regular backups.

To access internal information within an organization, professionals may need to have knowledge of enterprise resource planning (ERP) systems or other internal databases specific to the company they work for. These systems allow them to access project-related data, financial information, and other internal resources necessary for their work.

When it comes to accessing external information, professionals should be familiar with online platforms and databases that provide industry-specific data and resources. This may include accessing government databases for building codes and regulations, industry-specific websites for construction materials and equipment, or online platforms for finding subcontractors or suppliers.

Ethics play a crucial role in the construction and consulting professional practice. Professionals in these fields are responsible for designing, planning, and executing projects that have a significant impact on society, the environment, and the economy. Therefore, it is essential for them to adhere to ethical principles and standards to ensure the well-being of all stakeholders involved.

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# 5.7 Regularly effectiveness of risks management

Regularly reviewing the effectiveness of construction risk management processes is crucial for ensuring the successful execution of construction projects. By conducting regular evaluations, construction companies can identify potential risks, assess their impact, and implement appropriate measures to mitigate them. This proactive approach helps to minimize project delays, cost overruns, and other undesirable outcomes.

Construction risk management refers to the systematic identification, assessment, and control of risks associated with construction projects. It involves a series of processes and procedures aimed at minimizing the likelihood and impact of potential risks. These risks can include safety hazards, design flaws, material shortages, labor disputes, regulatory compliance issues, environmental concerns, and many others.

# 5.7.1 To effectively review the effectiveness of construction risk management processes,

- 1. **Establishing clear objectives:** Before conducting any review, it is essential to define clear objectives that align with the overall goals of the construction project. These objectives should be specific, measurable, achievable, relevant, and time-bound (SMART). By having well-defined objectives in place, it becomes easier to evaluate the effectiveness of risk management processes against these predetermined criteria.
- 2. Collecting relevant data: The next step involves collecting relevant data related to the construction project and its risk management processes. This data can include incident reports, safety records, project schedules, cost reports, change orders, quality control records, and any other information that provides insights into potential risks and their management. It is important to ensure that the data collected is accurate, comprehensive, and up-to-date.
- 3. **Analyzing risk management processes:** Once the necessary data has been collected, it is time to analyze the effectiveness of the existing risk management processes. This analysis should involve a thorough examination of various aspects such as risk identification methods, risk assessment techniques, risk mitigation strategies, communication channels for reporting risks, and monitoring mechanisms for tracking risk

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controls. The goal is to identify strengths and weaknesses in the current processes and identify areas for improvement.



Figure 6.7 Construction risk management process

- 4. **Benchmarking against industry best practices:** To gain a comprehensive understanding of the effectiveness of construction risk management processes, it is beneficial to benchmark against industry best practices. This involves comparing the organization's risk management processes with those of other successful construction companies or industry standards. By doing so, potential gaps or areas for improvement can be identified, and strategies can be developed to align with industry-leading practices.
- 5. **Engaging stakeholders:** The review process should involve engaging relevant stakeholders such as project managers, construction workers, safety officers, subcontractors, and clients. Their input and feedback are valuable in assessing the effectiveness of risk management processes from different perspectives. Regular communication and collaboration with stakeholders help to identify potential blind spots or overlooked risks that may not be apparent during the initial analysis.
- 6. **Implementing improvements:** Based on the findings from the review process, it is important to develop an action plan for implementing necessary improvements. This may involve revising existing risk management policies and procedures, enhancing training

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programs for employees, adopting new technologies for risk assessment and monitoring, or strengthening communication channels for reporting risks. The action plan should be realistic, achievable, and aligned with the organization's resources and capabilities.

7. **Monitoring and continuous improvement:** Once improvements have been implemented, it is crucial to establish a system for monitoring the effectiveness of these changes. Regular monitoring helps to ensure that the desired outcomes are being achieved and that any new risks or challenges are promptly addressed. Additionally, a culture of continuous improvement should be fostered within the organization to encourage ongoing evaluation and enhancement of risk management processes.

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# 5.8Risk response methods

Construction project managers apply risk response methods to minimize risks on their projects

Construction project managers can apply various risk response methods to minimize risks on their projects. These methods can be categorized into four main groups: risk avoidance, risk transfer, risk mitigation, and risk acceptance.

#### • Risk Avoidance

Risk avoidance involves eliminating or removing the risk from the project. This can be done by avoiding activities or situations that may lead to potential risks. For example, a construction project manager may avoid using certain materials or equipment that have been known to cause delays or cost overruns.

One way to apply risk avoidance is by conducting a thorough risk assessment at the beginning of the project. This assessment should identify all potential risks and determine the likelihood and impact of each risk. Based on the results of the assessment, the project manager can make informed decisions about which risks to avoid.

Another approach to risk avoidance is to use alternative project delivery methods such as design-build or construction management at-risk (CMAR). These methods allow the project owner to contract with a single entity that is responsible for both the design and construction of the project. This can help to reduce the risk of delays and cost overruns associated with traditional design-bid-build projects.

#### • Risk Transfer

Risk transfer involves shifting the responsibility for a risk to another party. This can be done through contract provisions or insurance. For example, a construction project manager may negotiate contract provisions with subcontractors or suppliers that shift the risk of certain costs or delays to them.

Another way to transfer risk is through insurance. Construction project managers can purchase insurance policies that cover specific risks such as property damage, personal injury, or professional liability. By transferring the risk of these events to an insurance

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company, the project manager can reduce the financial impact of these risks on the project.

## • Risk Mitigation

Risk mitigation involves taking steps to reduce the likelihood or impact of a risk. This can be done through a variety of techniques such as contingency planning, risk reduction, and risk sharing.

Contingency planning involves identifying potential risks and developing plans to address them if they occur. For example, a construction project manager may develop a contingency plan for inclement weather that includes alternative construction methods or scheduling adjustments.

Risk reduction involves taking steps to reduce the likelihood or impact of a risk. For example, a construction project manager may reduce the risk of accidents by providing safety training to employees and enforcing strict safety protocols.

Risk sharing involves dividing the risk among multiple parties. For example, a construction project manager may share the risk of cost overruns with the project owner by entering into a guaranteed maximum price (GMP) contract.

#### Risk Acceptance

Risk acceptance involves acknowledging that a risk exists and accepting the consequences if it occurs. This approach is usually taken when the cost of mitigating the risk outweighs the potential benefits of doing so.

For example, a construction project manager may accept the risk of minor cosmetic damage to the project if it means saving time and money by not implementing a particular risk mitigation strategy.

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#### Self- check

# **Multiple Choice**

- 1. What is one purpose of reviewing construction processes and procedures?
  - a) Identifying potential risks
  - b) Enhancing project performance
  - c) Improving safety measures
  - d) All of the above
- 2. Which of the following methods can be used to conduct reviews in a construction workplace?
  - a) On-site inspections
  - b) Document reviews
  - c) Interviews and surveys
  - d) All of the above
- 3. Which aspect should be considered during the scope of the review in a construction workplace?
  - a) Safety practices
  - b) Quality control
  - c) Environmental considerations
  - d) All of the above
- 4. Why is it important to involve stakeholders and key personnel in the review process?
  - a) They possess specialized knowledge and expertise.
  - b) It helps build trust and transparency.
  - c) They can identify potential blind spots or unintended consequences.
  - d) All of the above

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- 5. What is one common area for improvement in risk management processes?
  - a) Risk identification
  - b) Risk assessment
  - c) Risk mitigation
  - d) All of the above

### True or False

- 1. Pre-construction reviews are conducted to evaluate design plans and identify potential hazards.
- 2. On-site inspections involve physically visiting the construction site to assess compliance with safety regulations.
- 3. Document reviews involve examining various documents related to the construction project, such as incident reports and training records.
- 4. Reviewing safety practices is not crucial in the scope of the review process.
- 5. Involving stakeholders and key personnel in the review process can help identify potential risks or challenges.

### Blank Space

- 1. List three methods used for conducting reviews in a construction workplace.
- 2. Name two aspects that should be considered during the scope of the review in a construction workplace.
- 3. What are the benefits of involving stakeholders and key personnel in the review process?
- 4. Identify two areas for improvement in risk management processes.
- 5. How can organizations improve risk assessment processes?

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## **Operation Sheet**

**Operation title**: Monitor and Review Risk Management

#### **Instruction:**

- 1. Review the frequency, method, and scope of risk management practices in the construction workplace.
- 2. Evaluate construction processes and procedures to identify potential risks and improve safety measures.
- 3. Conduct comprehensive reviews at different stages of the project: pre-construction, during construction, and post-construction.
- 4. Use appropriate review methods such as on-site inspections, document reviews, interviews and surveys, and data analysis.
- 5. Ensure the review covers safety practices, quality control, environmental considerations, compliance with regulations, and communication and collaboration.
- 6. Involve stakeholders and key personnel in the review process to gather insights and address concerns.
- 7. Document stakeholder input, analyze it, and consider it in the review outcomes.
- 8. Provide feedback to stakeholders on how their input influenced the review process and outcomes.
- 9. Identify areas for improvement, mitigate risks, and enhance safety measures in construction projects.

#### **Purpose:**

The purpose of this operation is to monitor and review risk management practices in the construction workplace to identify potential risks, improve safety measures, and enhance overall project performance. It aims to ensure effective and efficient construction practices through regular reviews at different project stages and utilizing appropriate methods.

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## **Required Tools:**

- 1. Relevant construction-related documents.
- 2. Personal protective equipment (PPE) for on-site inspections.
- 3. Communication tools for conducting interviews and surveys.
- 4. Data analysis tools for analyzing collected data.

#### **Procedures:**

- 1. Determine the frequency, method, and scope of the review in consultation with construction workplace stakeholders and key personnel.
- 2. Conduct pre-construction reviews by evaluating design plans, identifying potential hazards, and ensuring compliance with building codes and regulations.
- 3. Perform periodic reviews during construction to monitor progress, assess adherence to safety protocols, and address emerging issues promptly.
- 4. Conduct post-construction reviews after project completion to evaluate overall success and identify areas for improvement.
- 5. Use on-site inspections to physically assess compliance with safety regulations, quality standards, and approved plans.
- 6. Perform document reviews by examining construction-related documents such as design plans, permits, contracts, safety manuals, incident reports, and training records.
- 7. Conduct interviews and surveys with construction workers, supervisors, and other personnel to gather feedback on safety procedures and identify areas for improvement.
- 8. Analyze data collected from incident reports, near-miss reports, safety audits, and performance metrics to identify trends and areas of concern.
- 9. Ensure the review covers safety practices, quality control measures, environmental considerations, compliance with regulations, and communication and collaboration practices.

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#### **Precautions:**

- 1. Follow safety protocols and wear appropriate personal protective equipment during onsite inspections.
- 2. Handle construction-related documents with care to maintain their accuracy and completeness.
- 3. Conduct interviews and surveys in a respectful and inclusive manner.
- 4. Ensure data privacy and confidentiality when collecting and analyzing data.
- 5. Consider any legal or regulatory requirements during the review process.
- 6. Communicate the purpose and scope of the review clearly to stakeholders and key personnel.
- 7. Address any concerns or issues raised by stakeholders in a timely and appropriate manner.

## **Quality Criteria:**

- 1. Thorough assessment of construction processes and procedures.
- 2. Compliance with building codes, safety regulations, and environmental regulations.
- 3. Identification of potential hazards and deficiencies in safety protocols.
- 4. Accurate and complete documentation.
- 5. Inclusion of stakeholder and key personnel input.
- 6. Identification of areas for improvement and mitigation of risks.
- 7. Enhanced safety measures and overall project performance.

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